



THE UNIVERSITY OF
AUCKLAND
Te Whare Wānanga o Tamaki Makaurau
NEW ZEALAND

Predicting stroke recovery

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University of Auckland

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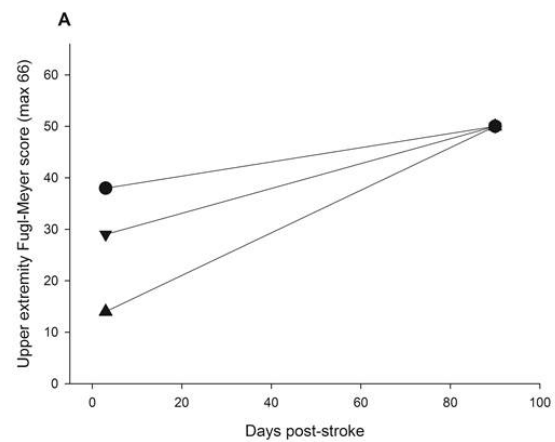
Predictors of stroke outcome

- Stroke severity
- Age
- Co-morbidities
- Stroke lesion volume
- Leukariaosis

Predictors of motor recovery and outcome

| Modified Rankin Scale | |
|-----------------------|--------------------------------------|
| Grade | Description |
| 0 | No symptoms |
| 1 | Minor symptoms |
| 2 | Some restriction in lifestyle |
| 3 | Significant restriction in lifestyle |
| 4 | Partly dependent |
| 5 | Fully dependent |
| 6 | Dead |

Recovery and Outcome



Different recovery
Same outcome

Impairment and Function

Impairment

Voluntary movement
Fugl-Meyer scale (FM)

Function

Task completion
Action Research Arm Test (ARAT)
Functional Ambulation Category (FAC)

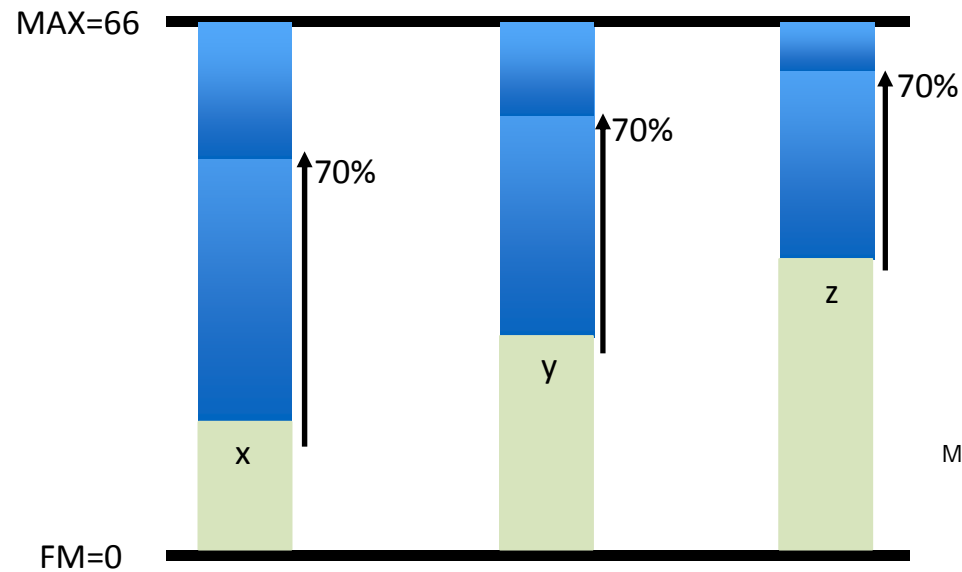
Today

Predicting recovery from impairment

Predicting functional outcomes

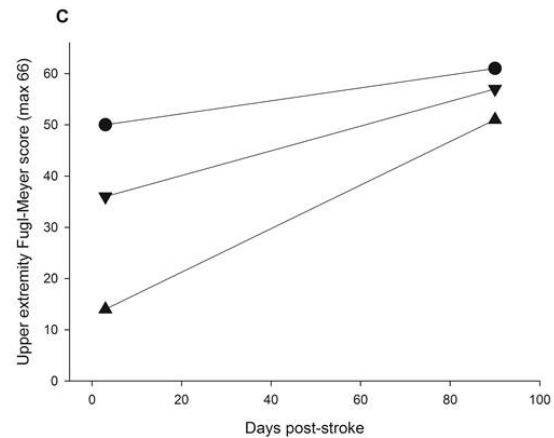
Recovery from impairment

Fugl-Meyer scores increase by 70% of the available improvement for most patients



Prabhakaran et al., NNR, 2008
Marshall et al., Ann Neurol, 2009
Winters et al., NNR, 2014
Feng et al., Ann Neurol, 2015
Buch et al., Neurology, 2016

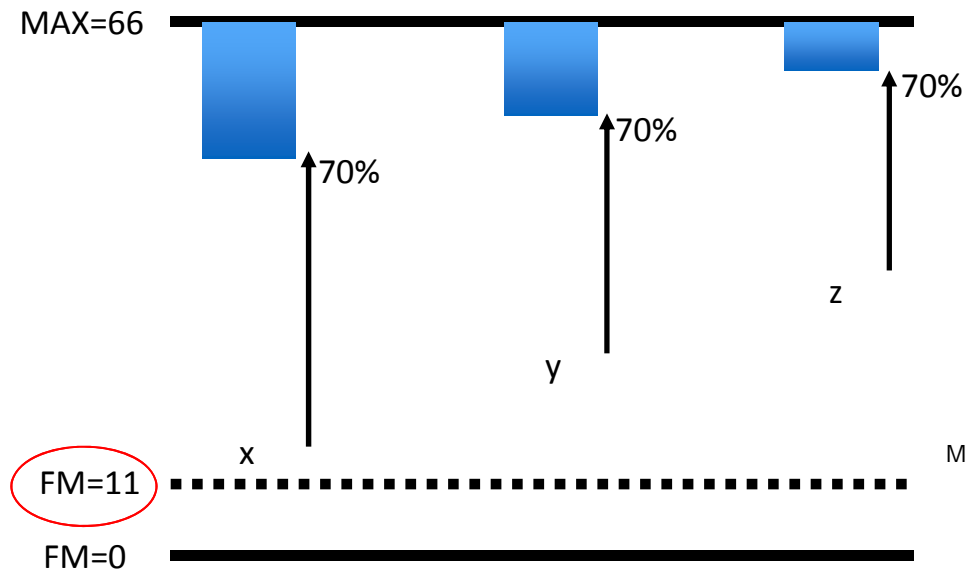
Recovery and Outcome



Same *proportional* recovery
Different outcome

Recovery from impairment

Fugl-Meyer scores increase by 70% of the available improvement for most patients



Prabhakaran et al., NNR, 2008
 Marshall et al., Ann Neurol, 2009
 Winters et al., NNR, 2014
 Feng et al., Ann Neurol, 2015
 Buch et al., Neurology, 2016

Recovery from impairment

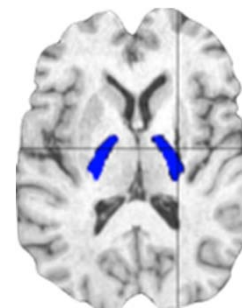
Biomarkers of the corticospinal tract can be useful

Functional integrity

Transcranial magnetic stimulation

Structural integrity

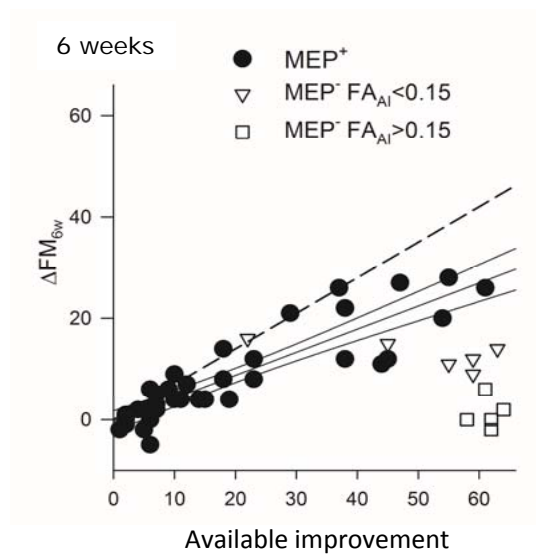
Magnetic resonance imaging



Recovery from impairment

FM scores increase by 70% of the available improvement
for patients with a **functional corticospinal tract**

Byblow et al., Ann Neurol, 2015

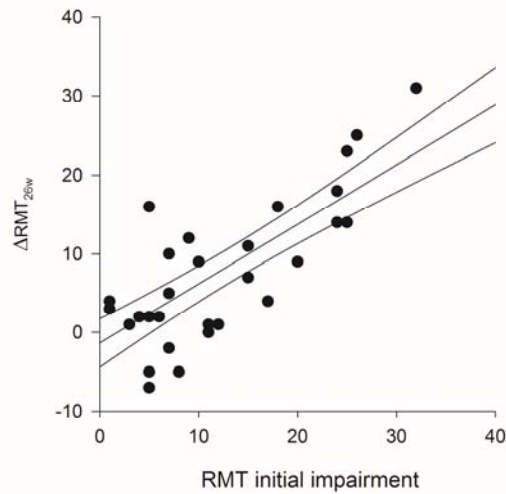


$$\beta = 0.45, 95\% \text{ CI} = 0.39 - 0.50$$

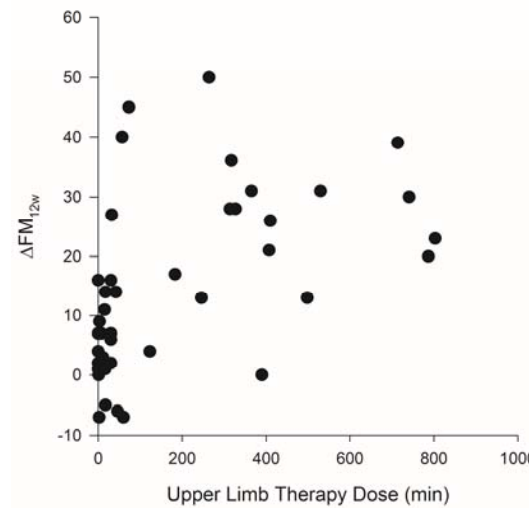
Recovery from impairment

Excitability of the stroke M1 also increases by 70% of the available improvement

Recovery from impairment is not related to therapy dose



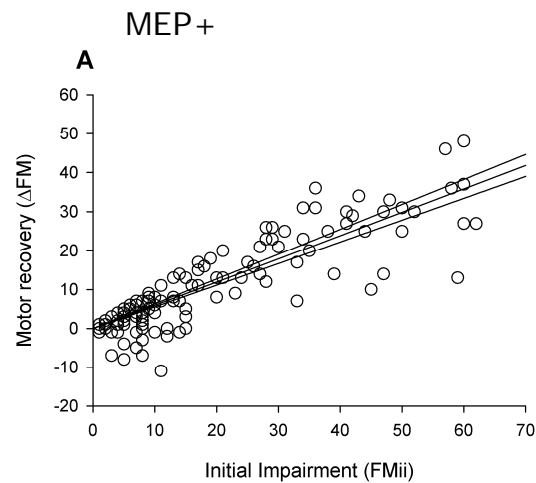
$\beta = 0.74$, 95% CI = 0.64 – 0.85



Recovery from impairment

FM scores increase by 70% of the available improvement
for patients with a **functional corticospinal tract**

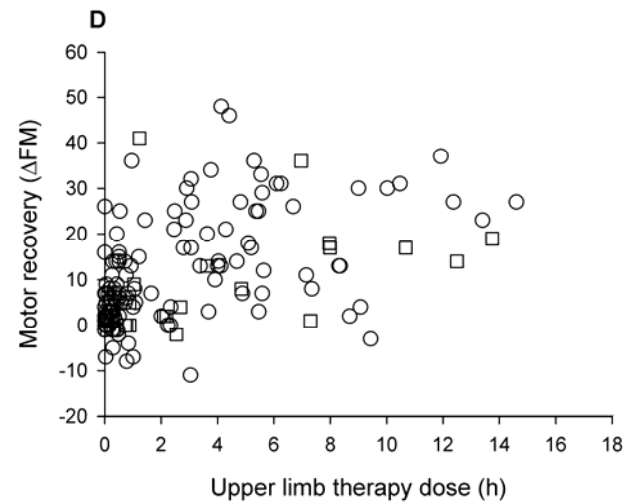
Stinear et al., Stroke, 2017



$$\beta = 0.63, 95\% \text{ CI} = 0.55 - 0.70$$

Recovery from impairment

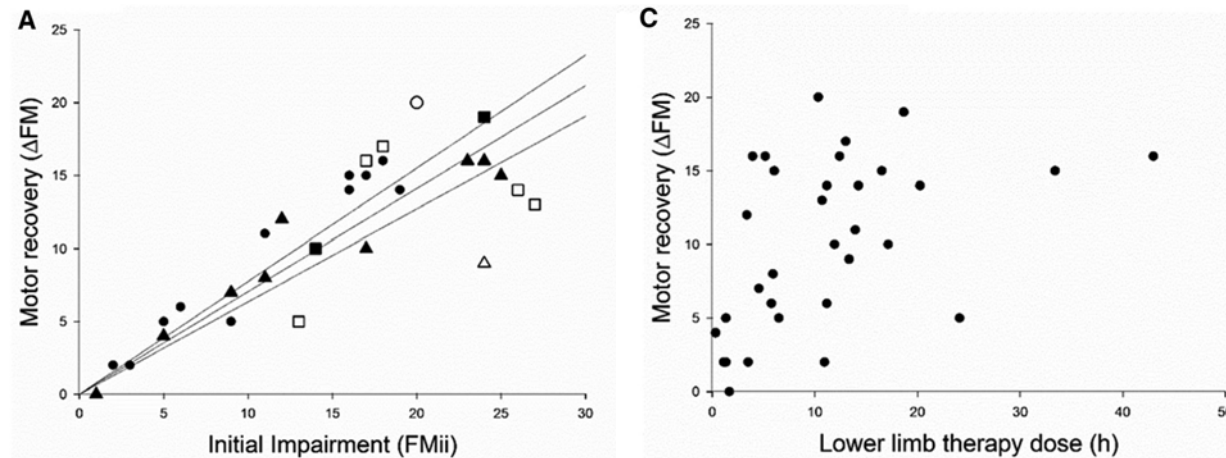
Recovery from impairment is not related to therapy dose



Recovery from LL impairment

Lower limb Fugl-Meyer scores increase by 70% of the available improvement for all patients, regardless of MEP status

Recovery from lower limb impairment is not affected by therapy dose



Smith et al., Stroke, 2017

Recovery from impairment

Recovery from impairment reflects a spontaneous neurobiological recovery process with which current doses of therapy do not interact

Inter-individual Variability in the Capacity for Motor Recovery After Ischemic Stroke
Shyam Prabhakaran, Eric Zarahn, Claire Riley, Allison Speizer, Ji Y. Chong, Ronald M. Lazar, Randolph S. Marshall and John W. Krakauer
Neurorehabil Neural Repair 2008 22; 64 originally published online 8 August 2007
DOI: 10.1177/1545968307305302

Corticospinal Tract Lesion Load: An Imaging Biomarker for Stroke Motor Outcomes

Wuwei Feng, MD, MS,^{1,5} Jasmine Wang, BA,² Pratik Y. Chhatbar, MD, PhD,¹ Christopher Doughty, MD,² Douglas Landstiel, PhD,³ Vasileios-Arsenios Lioutas, MD,² Steven A. Kautz, PhD,^{4,5} and Gottfried Schlaug, MD, PhD²

Proportional Recovery After Stroke Depends on Corticomotor Integrity

Winston D. Byblow, PhD,^{1,2} Cathy M. Stinear, PhD,^{1,3} P. Alan Barber, MBChB, PhD,^{1,3} Matthew A. Petoe, PhD,^{1,3,4} and Suzanne J. Ackerley, BPhy, PhD^{1,3}

Clinical Research Article

Generalizability of the Proportional Recovery Model for the Upper Extremity After an Ischemic Stroke

Caroline Winters, MSc¹, Erwin E. H. van Wegen, PhD¹, Andreas Daffertshofer, PhD², and Gert Kwakkel, PhD^{1,3}

Neurorehabilitation and Neural Repair
2015, Vol. 29(7) 414-422
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nrr.sagepub.com
SAGE

Ethan R. Buch, PhD
Sviatlana Rizk, PhD
Pierre Nicolo, MS
Leonardo G. Cohen, MD
Armin Schneider, MD
Adrian G. Guggisberg, MD

PREDICTING MOTOR IMPROVEMENT AFTER STROKE WITH CLINICAL ASSESSMENT AND DIFFUSION TENSOR IMAGING

Reliable predictors of motor improvement in individual patients after stroke are scarce. Acute determination of upper limb Fugl-Meyer assessment (FMA) appears to have predictive value.^{1,2} This approach predicts that pa-

Proportional Recovery From Lower Limb Motor Impairment After Stroke

Marie-Claire Smith, BHSc; Winston D. Byblow, PhD; P. Alan Barber, PhD; Cathy M. Stinear, PhD

Proportional Motor Recovery After Stroke Stroke Implications for Trial Design

Cathy M. Stinear, PhD; Winston D. Byblow, PhD; Suzanne J. Ackerley, PhD; Marie-Claire Smith, BHSc; Victor M. Borges, PhD; P. Alan Barber, PhD, FRACP

What does this mean?

Clinical research

Aim to increase the proportion above 70%

If patients have less residual impairment, they will have greater function, independence, and quality of life

Use TMS to select patients for UL trials

Clinical practice ?

Most patients are left with residual impairment

Patients with severe UL impairment can recover proportionally if MEP+

Current therapy helps patients learn to function as well as possible

The big picture

Eight multi-centre RCTs of motor rehabilitation since 2011

- Acute and sub-acute stage

- Total 1,795 patients


- Variations of current practice

- All neutral

How can we increase sensitivity to intervention effects at the sub-acute stage?

- Greater contrast

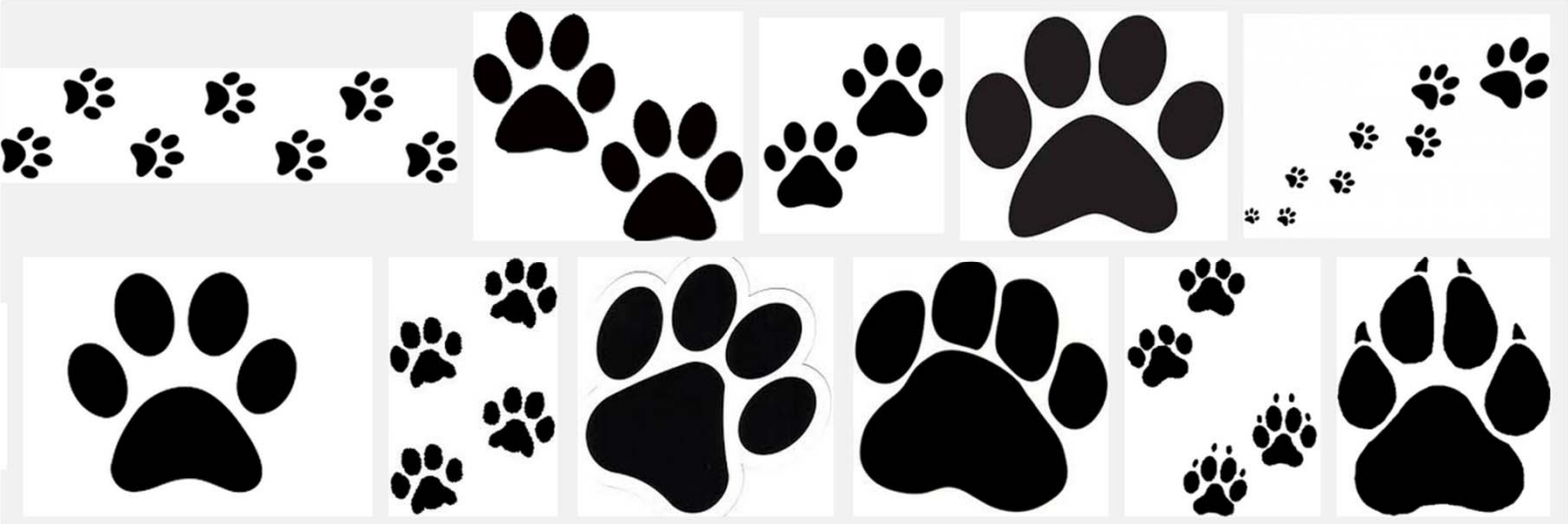
- Patient selection

 Prediction of motor recovery after stroke: advances in biomarkers

Cathy M Stinear

Lancet Neurol 2017; 16: 826-36

THE LANCET **Neurology**

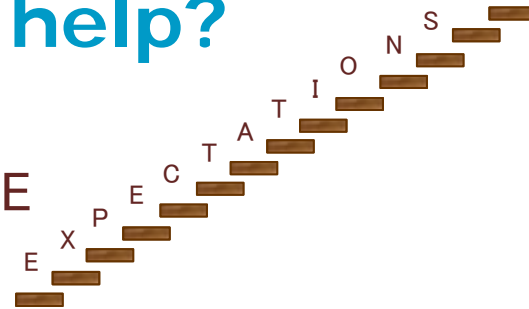


How does better prediction of functional outcomes help?

TAILOR
REHABILITATION
GOALS



MANAGE
PATIENT



USE TIME AND RESOURCES TO
BRING THE BEST
OUTCOME
FOR THE
PATIENT



How good are we at predicting now?

> 31,000 patients discharged from > 900 US hospitals

There is a 3-fold variation in discharge rates to SNF and IRF after stroke, even after adjusting for clinical characteristics and geographic availability

Zian et al. Stroke 2017;48:2836-42

“This marked variation could reflect the lack of an evidence-based algorithm...”

How good are we at predicting now?

Predicting ARAT score at 6 months
(Action Research Arm Test)

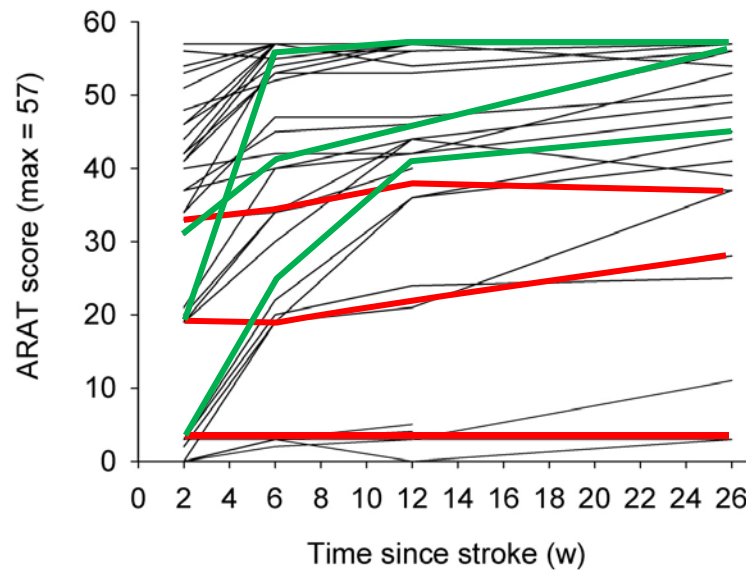
| 6 month prediction | Correct |
|--------------------|------------|
| <10 | 86% |
| 10 - 56 | 47% |
| 57 | 61% |
| Overall | 59% |



Nijland et al., Physical Therapy, 2013

Functional recovery and outcomes

Patients who have initially similar clinical scores can have very different recoveries and outcomes



Functional outcomes

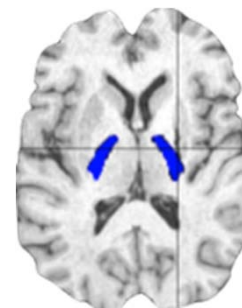
Biomarkers of the corticospinal tract can be useful

Functional integrity

Transcranial magnetic stimulation

Structural integrity

Magnetic resonance imaging



PREP2 algorithm

PREP- Previously developed and validated

Revised with data from 207 patients

Median age 72 y (18 – 98 y)

50% female

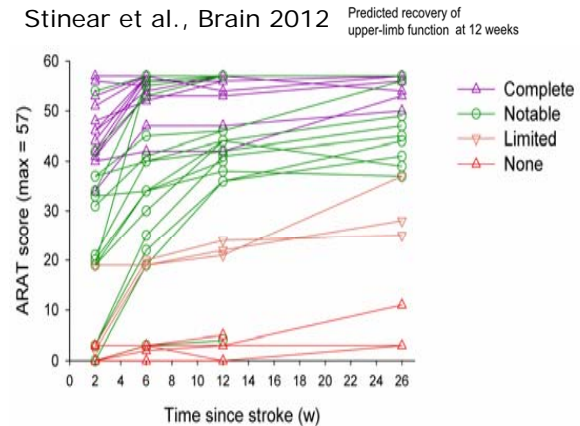
10% ICH

13% previous stroke

Recruited within 72 h of stroke symptom onset

Usual care, therapy dose recorded

Primary endpoint: ARAT score 3 m post-stroke



PREP2 algorithm

Hypothesis-free cluster analysis of ARAT scores at 3 m to identify four levels of upper limb function

| Outcome | Mean | Median | Minimum | Maximum | N |
|-----------|------|--------|---------|---------|-----|
| Excellent | 56 | 57 | 50 | 57 | 113 |
| Good | 43 | 42 | 34 | 48 | 55 |
| Limited | 22 | 22 | 13 | 31 | 16 |
| Poor | 2 | 0 | 0 | 7 | 23 |

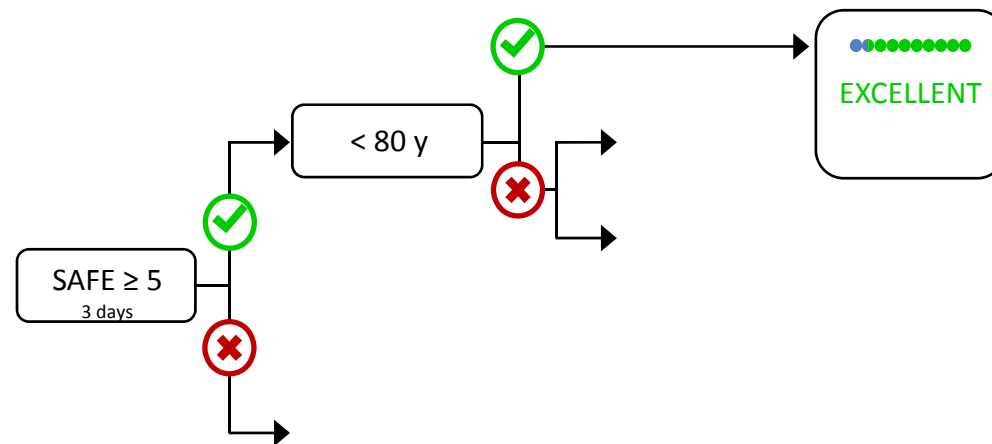
Classification and regression tree (CART) analysis to create a decision tree for predicting outcome, including factors:

| | | | |
|---|---|---------------------|-------------------------|
| age | gender | hand affected | SAFE score |
| thrombolysis | previous stroke | NIHSS score | MEP status (MEP+, MEP-) |
| UL therapy dose | PLIC FAI | CST lesion load (%) | SMT lesion load (%) |
| stroke type (LACI, PACI, TACI, POCI, ICH) | stroke location (subcortical, cortical/subcortical) | | |

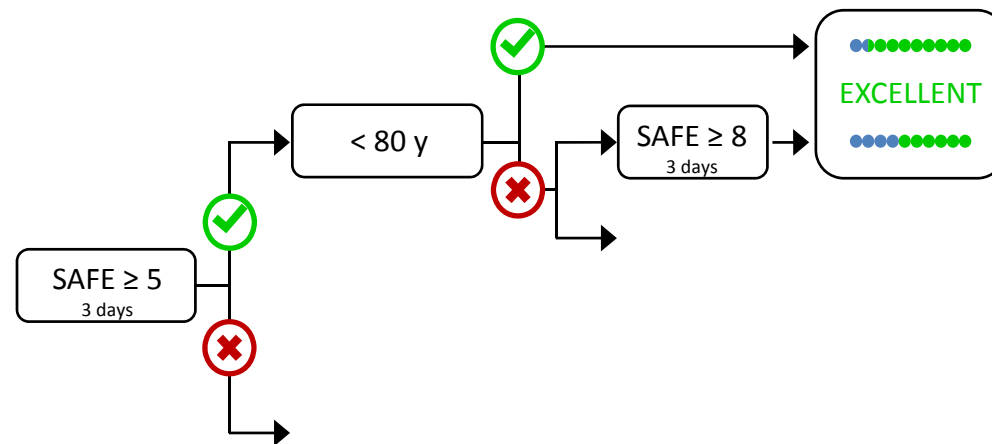
PREP2 algorithm



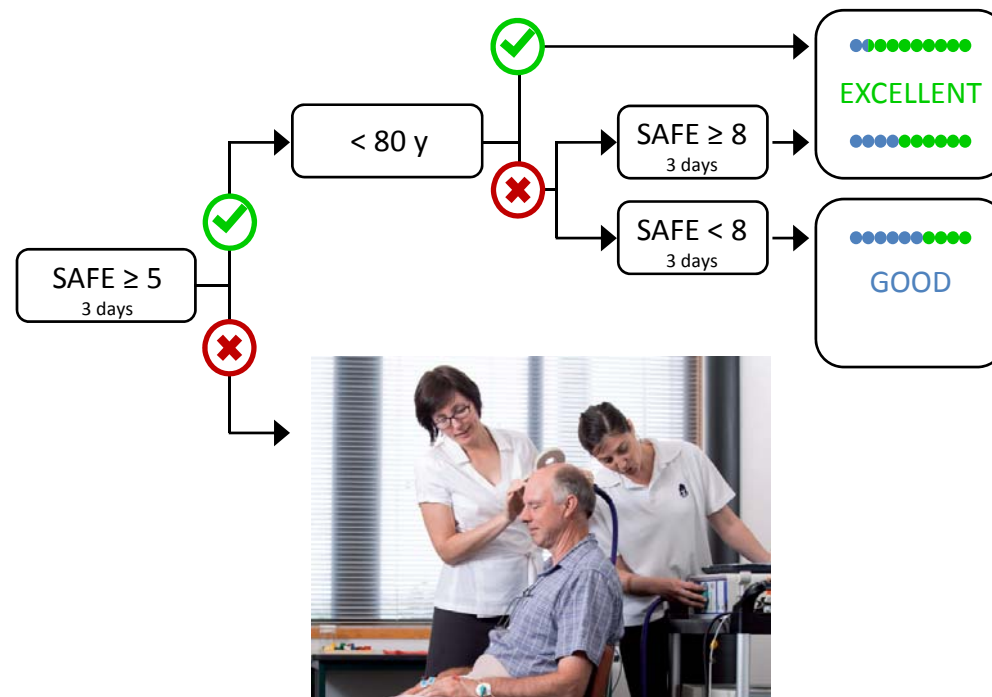
PREP2 algorithm



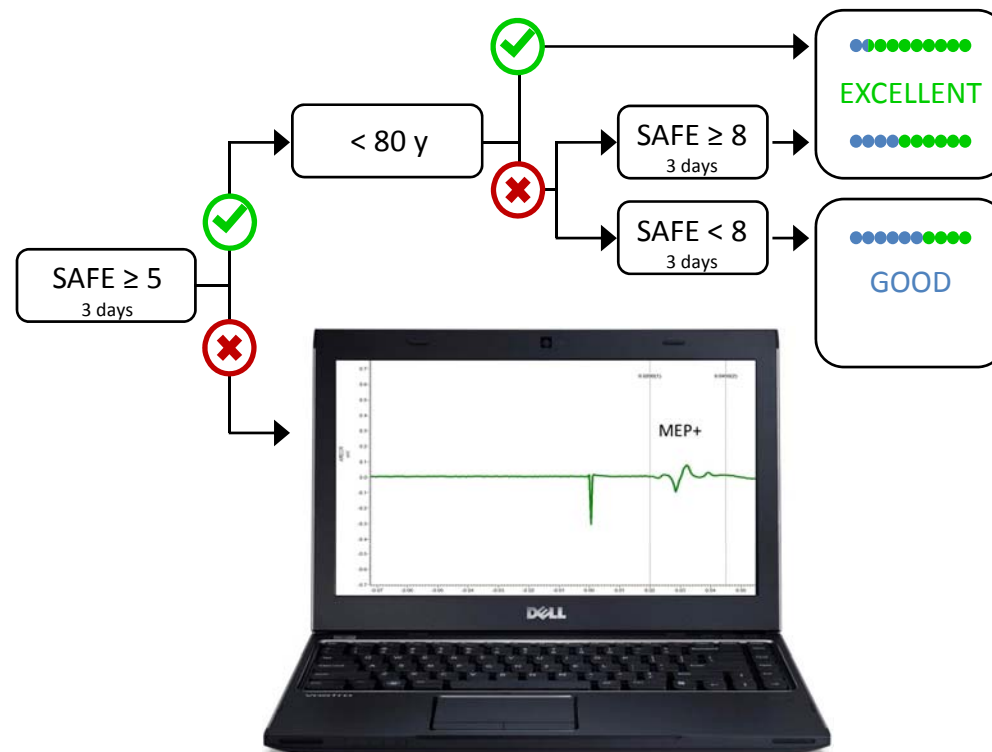
PREP2 algorithm



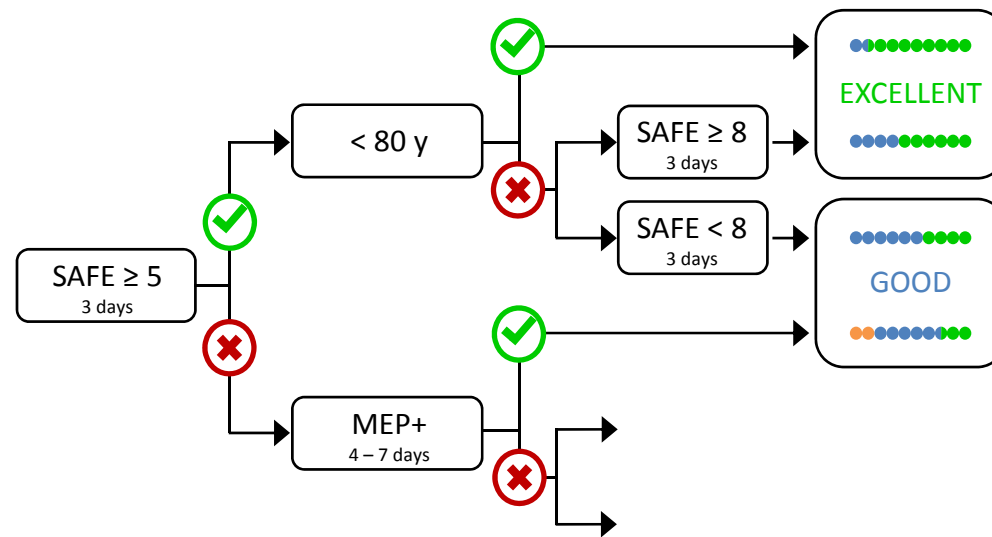
PREP2 algorithm



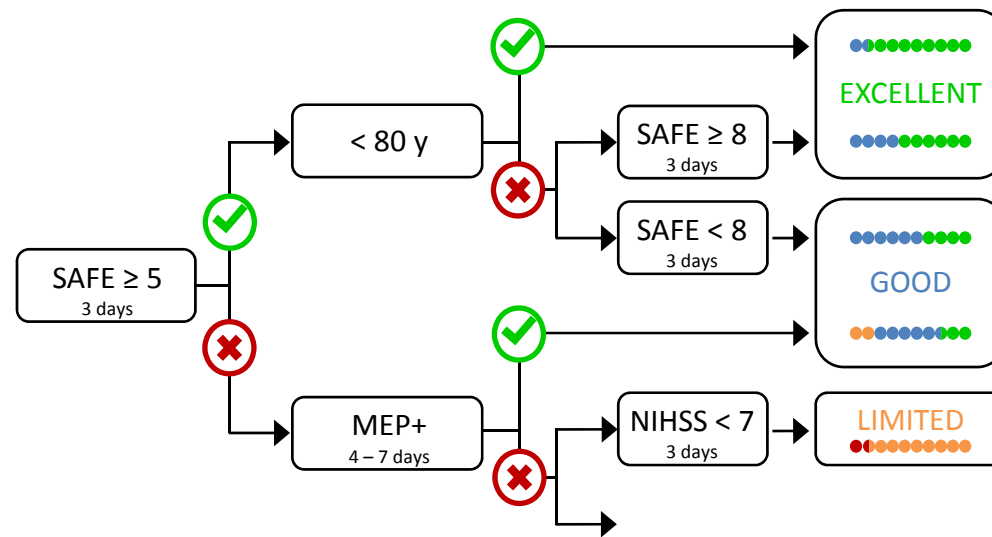
PREP2 algorithm



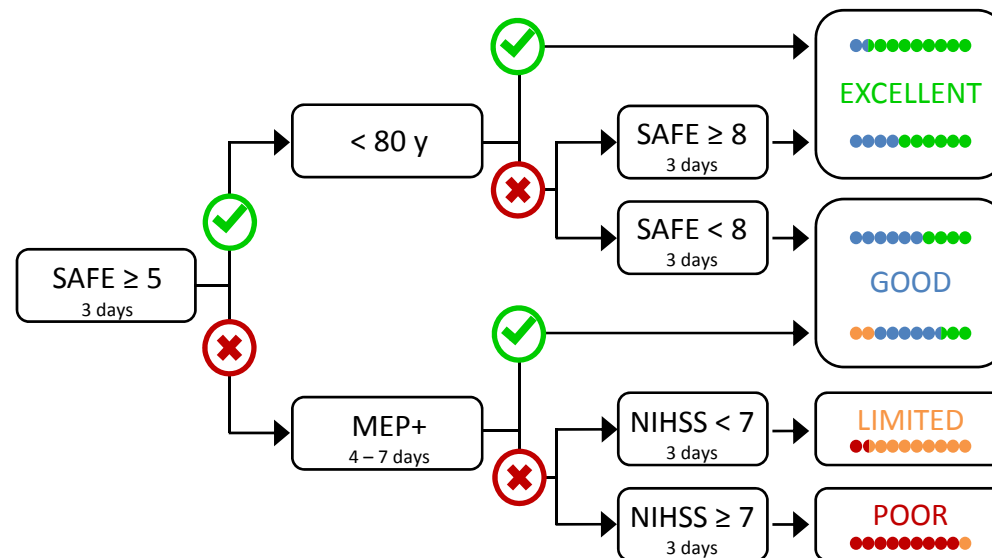
PREP2 algorithm



PREP2 algorithm

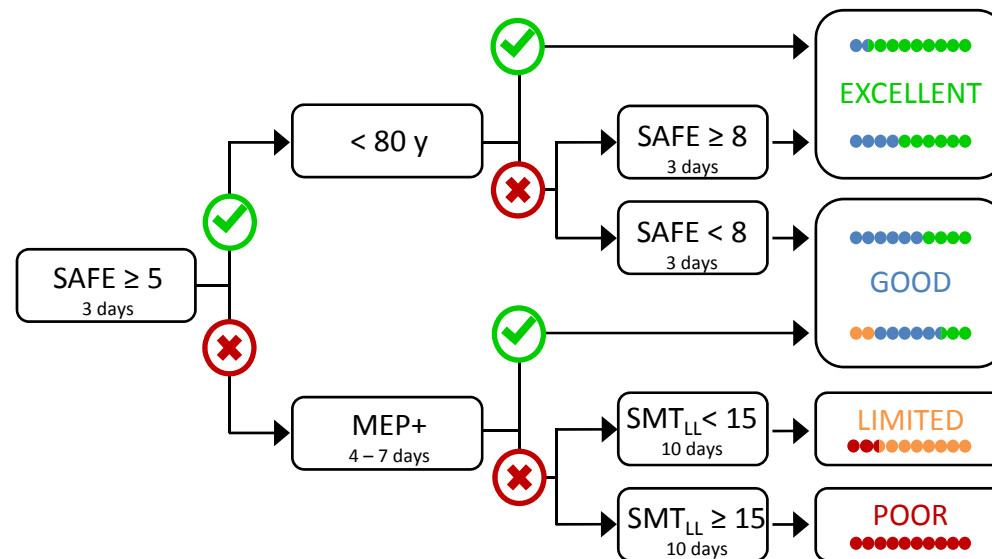


PREP2 algorithm



Accurate for
75% of patients

PREP2 algorithm



Also accurate for
 75% of patients

PREP2 algorithm

Excellent - Promote normal use

Good - Promote function

Limited - Promote movement

Poor - Promote compensation

What happens when you use it?

PREP information changed therapist perceptions and behavior

Therapist confidence

Higher with PREP information $p = 0.046$

What happens when you use it?

PREP information altered therapy content

Less passive movement for patients with Excellent prognosis

Less task specific training for patients with Limited or Poor prognosis

PREP information

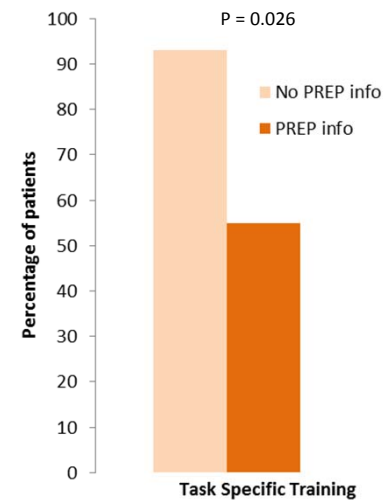
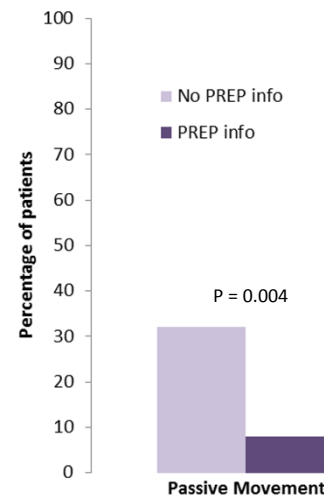
Lower than

No effect

As intended

PREP aligned

PREP delivered



What happens when you use it?

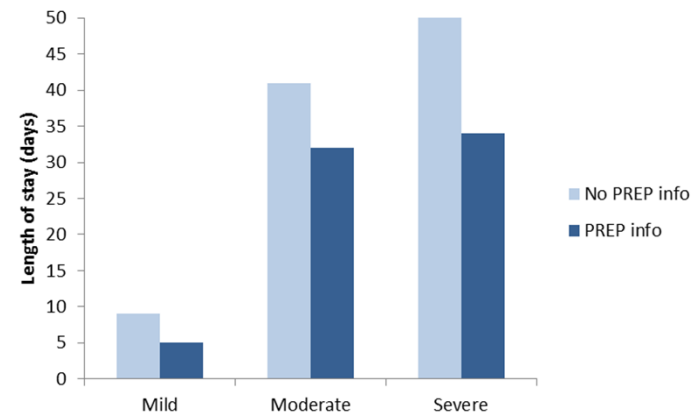
PREP information shortened length of stay

Stroke severity: Longer stays for more severe stroke, $p < 0.001$

PREP: Shorter stays with PREP information, $p = 0.005$

Median decrease of **6 days**, 95% CI = 1 – 12 days

No background change, $p = 0.843$



What happens when you use it?

No effects of PREP information on clinical outcomes

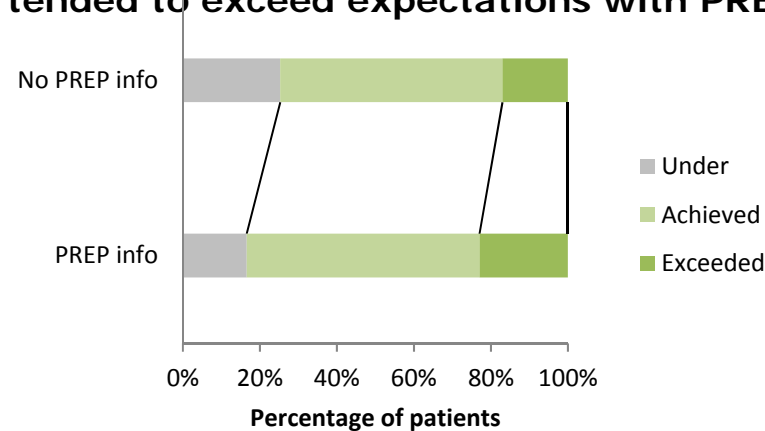
Similar ARAT scores at 12 weeks, $p = 0.51$

Similar mRS scores at 12 weeks, $p = 0.85$

Similar MAL scores at 6 months, $p = 0.25$

Similar SIS scores at 6 months, $p = 0.38$

Patients tended to exceed expectations with PREP information



What happens when you use it?

PREP algorithm information gave therapists more **confidence**

More **focused** upper limb rehabilitation, **tailored** to the recovery potential of **individual** participants, may have contributed to **shortened length of stay** by around 1 week

PREP information may **increase rehabilitation efficiency**, with no negative effects on patient outcomes

Predicting Recovery Potential for Individual Stroke Patients Increases Rehabilitation Efficiency

Stroke

Cathy M. Stinear, PhD; Winston D. Byblow, PhD; Suzanne J. Ackerley, PhD;
P. Alan Barber, PhD; Marie-Claire Smith, BHSc

**MUST
GO
FASTER**



Walking function after stroke

60% of patients need help to walk

Independent walking is the most frequent goal

Determines WHEN a patient will be discharged from rehabilitation and WHERE they will go

Marie-Claire Smith
A freshly-minted PhD!



Predictors

Balance
Strength
Age
Comorbidities



Neurorehabilitation and Neural Repair



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Last updated November 1, 2017

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Original Article



The TWIST Algorithm Predicts Time to Walking Independently After Stroke

Marie-Claire Smith, BHSc, P. Alan Barber, PhD, Cathy M. Stinear, PhD

<https://doi-org.ezproxy.auckland.ac.nz/10.1177/1545968317736820> | First Published November 1, 2017

| FAC | Functional Ambulation Categories |
|-----|--|
| 0 | Not walking or 2 assist |
| 1 | Mod-max 1 assist |
| 2 | Minimal 1 assist |
| 3 | Supervision only |
| 4 | Independent on level surfaces |
| 5 | Independent on stairs, slopes, uneven surfaces |

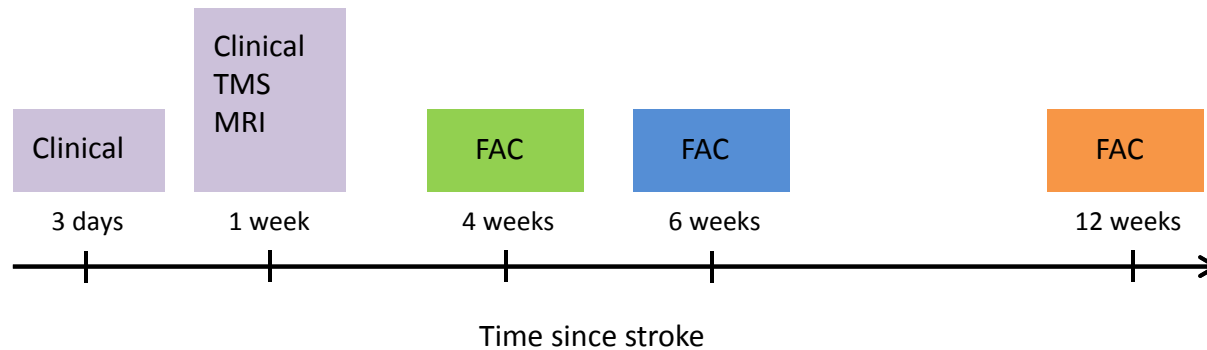
Demographics and stroke characteristics (n = 41)

| | |
|-------------------------------|------------|
| Age (median, range) | 72 (43-96) |
| Female | 24 (59%) |
| First stroke | 37 (90%) |
| Haemorrhage | 6 (15%) |
| tPA | 6 (15%) |
| Stroke severity | |
| Mild (NIHSS <5) | 7 (17%) |
| Moderate – severe (NIHSS ≥ 5) | 34 (83%) |

Clinical

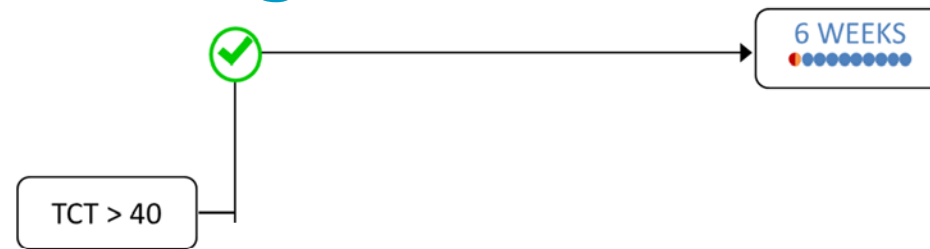
| | |
|---|-----------|
| Ambulation | |
| Non-ambulatory (FAC = 0) | 33 (80%) |
| Dependent ambulation FAC (1,2,3) | 8 (20%) |
| | |
| Motricity index LL (median out of 100, range) | 48 (0-92) |

Study timeline



Variables entered into analysis: age, sex, stroke classification (Oxfordshire), NIHSS, stroke type (motor, motor-sensory, motor-sensory-hemianopia), comorbidities, FAC, MRC grades, Motricity Index, Trunk Control Test, therapy dose, therapy intensity (minutes per day), MEP status, MRI lesion load.

TWIST algorithm



Trunk Control Test

0 points = requiring assistance

12 points = indep but abnormal movement pattern

25 points = indep and normal movement pattern

- 1) Roll to weak side
- 2) Roll to strong side
- 3) Lie to sit
- 4) Sitting, feet off floor 30 seconds



TWIST algorithm



Accurate for 95% of patients

Predicting Recovery of function

Baseline clinical scores alone are poor predictors of UL functional outcome

The PREP2 algorithm can accurately predict upper limb functional outcome for 75% of patients

TMS is essential for patients with a SAFE score < 5

Clinical scores may be reasonable predictors of independent walking

The TWIST algorithm might accurately predict whether and when patients will recovery independent walking, but needs validation

TMS might not be needed

What does this mean?

Clinical practice

- For Upper limb:
 - You can make an accurate UL prediction for 2/3 of patients with SAFE score and Age
 - If on day 3 SAFE < 5, get NIHSS score and book TMS
 - Tailor therapy according to predicted outcome
- For Lower limb:
 - You might be able to make an accurate prediction for most patients with TCT and hip extension
 - Manage discharge planning and patient expectations

What does this mean?

Clinical research

Match treatment and control groups based on predicted outcome,
not just baseline characteristics

Conclusions

Biomarkers for patient selection in trials



Biomarkers in clinical practice



Personalised rehabilitation



Better outcomes

Thanks



www.presto.auckland.ac.nz

Associate Professor Cathy Stinear
Dr Suzanne Ackerley
Marie-Claire Smith
Dr Victor Borges
Professor Alan Barber

Anna McRae
Ben Scrivener
Kathryn Quick
Emma Monigatti
Claire Valentine
Desiree McCracken

PRESTO Predict Stroke Outcomes

The screenshot shows the PRESTO website interface. At the top, there are social media links for PREP and TWIST. Below is a 'Welcome' section with a brief description of the site's purpose. The main feature is a flowchart that starts with 'SAFE ≥ 5 On day 1'. If the score is ≥ 5, it branches into '< 80 y' and 'MEP+ Day 1-7'. The '< 80 y' branch further divides into 'SAFE ≥ 8 On day 3' (leading to 'EXCELLENT') and 'SAFE < 8 On day 3' (leading to 'GOOD'). The 'MEP+' branch divides into 'NIHSS < 7 On day 3' (leading to 'LIMITED') and 'NIHSS ≥ 7 On day 3' (leading to 'POOR'). The right side of the page includes a search bar, an email subscription form, and a list of resources.



Winston Byblow @MyPlasticBrain
Cathy Stinear @StrokeScience

