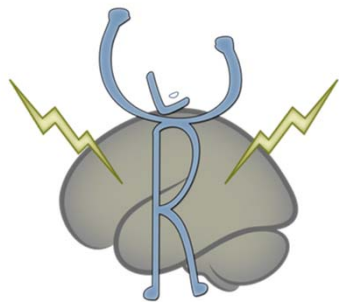


Brain Stimulation for Aphasia

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Recovery
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Plasticity and
Recovery



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UNIVERSITY
SCHOOL OF MEDICINE



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Disclosures

- I have no financial disclosures
- I am collaborating with Soterix Medical, Inc. on a clinical trial of transcranial direct current stimulation for aphasia, funded by NIH.

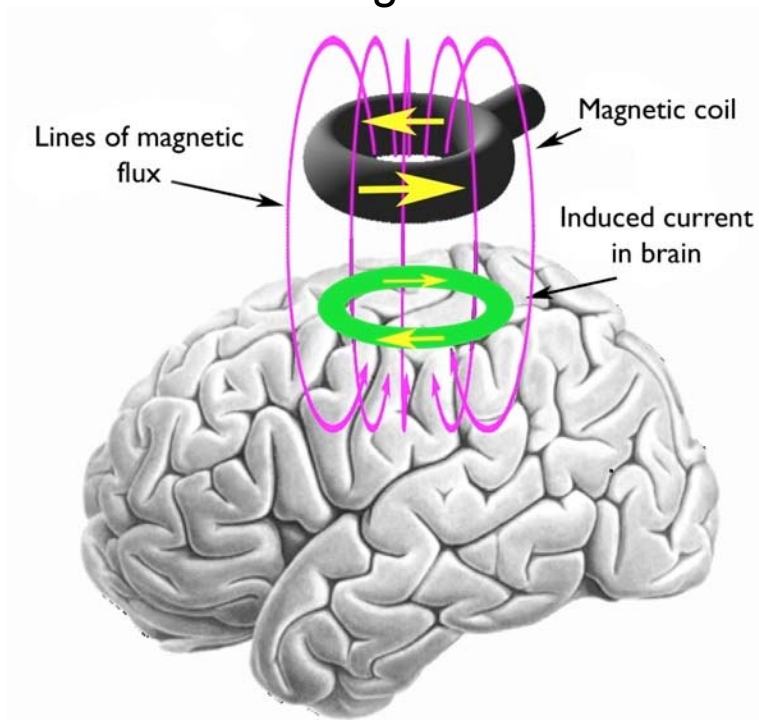
Outline

- Non-invasive brain stimulation techniques
- Brain basis of aphasia recovery (to guide new treatments)
- Evidence so far on non-invasive brain stimulation for aphasia
 - TMS
 - tDCS
 - Results of a new tDCS trial

Neuromodulation

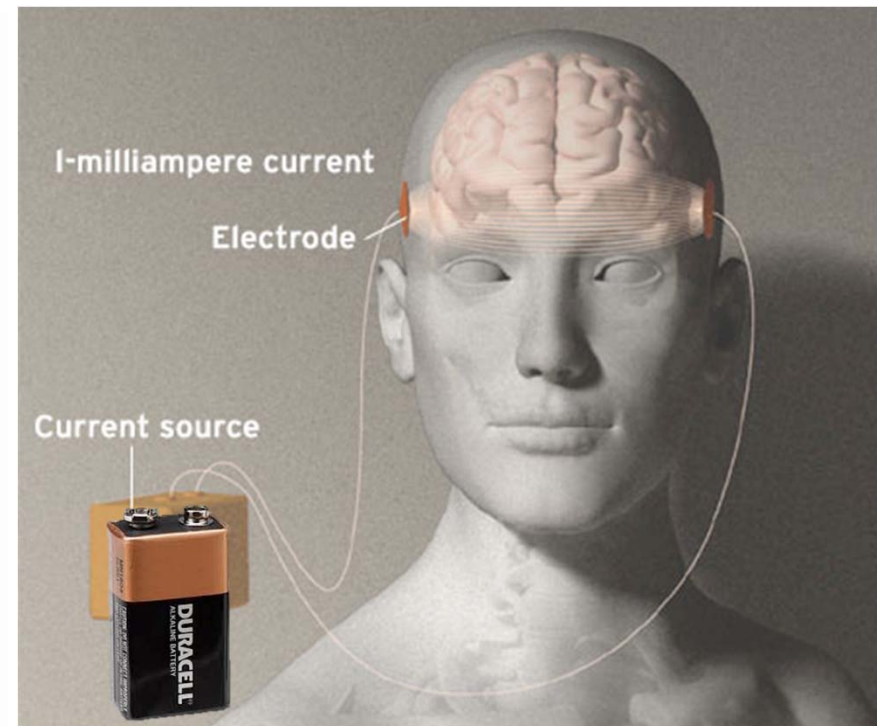
rTMS

Transcranial Magnetic Stimulation



tDCS

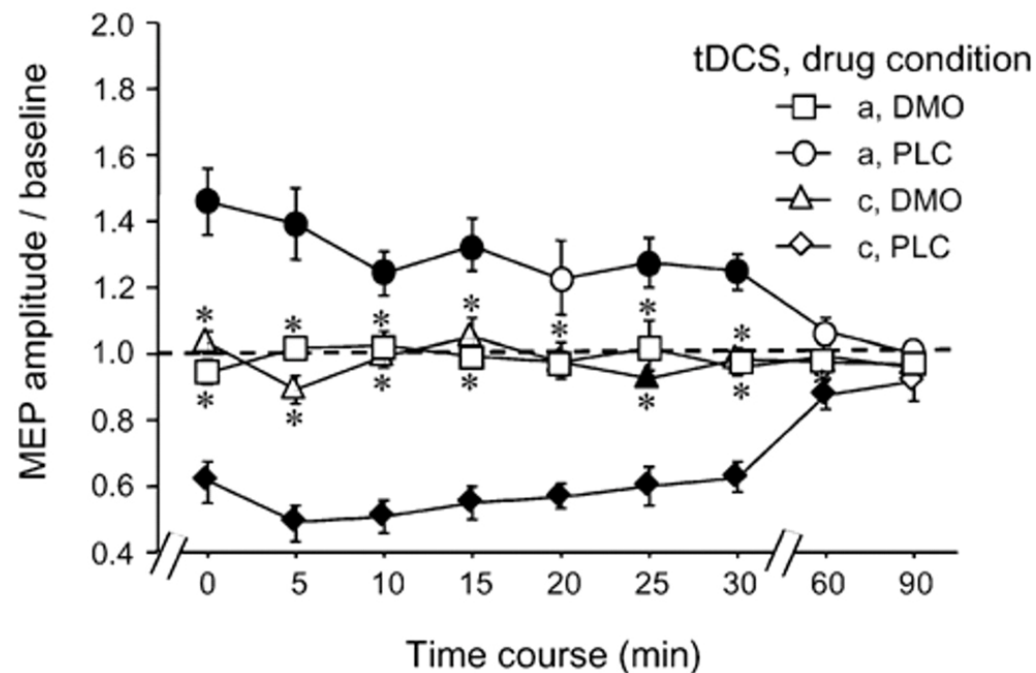
Transcranial Direct Current Stimulation



Medications
Speech-Language Therapy

rTMS and tDCS Commonalities

- Increase or decrease cortical excitability
- Effects last minutes-hours after stimulation
- Repeated sessions have long-term effects



Nitsche et al.,
2003

rTMS vs. tDCS

Differences

rTMS

- Makes neurons fire
- Focal, precise anatomical effect
- Patient must stay still
- Noisy
- Small risk of seizure

tDCS

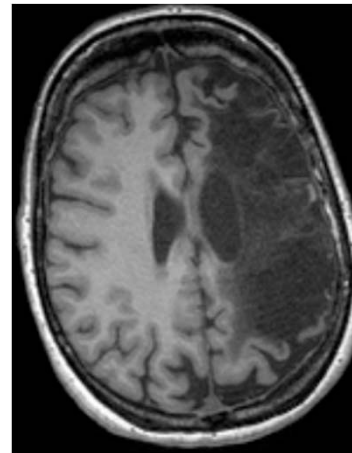
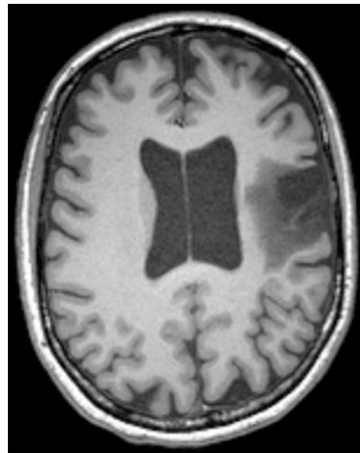
- Alters the probability of neurons firing
- Anatomically wide effect
- Cheaper
- Simpler
- Can move during Tx
- Silent
- No serious adverse events

How? When? Where? Who? Why?

Understanding the brain basis of aphasia recovery will (hopefully) help to guide the treatment approach.

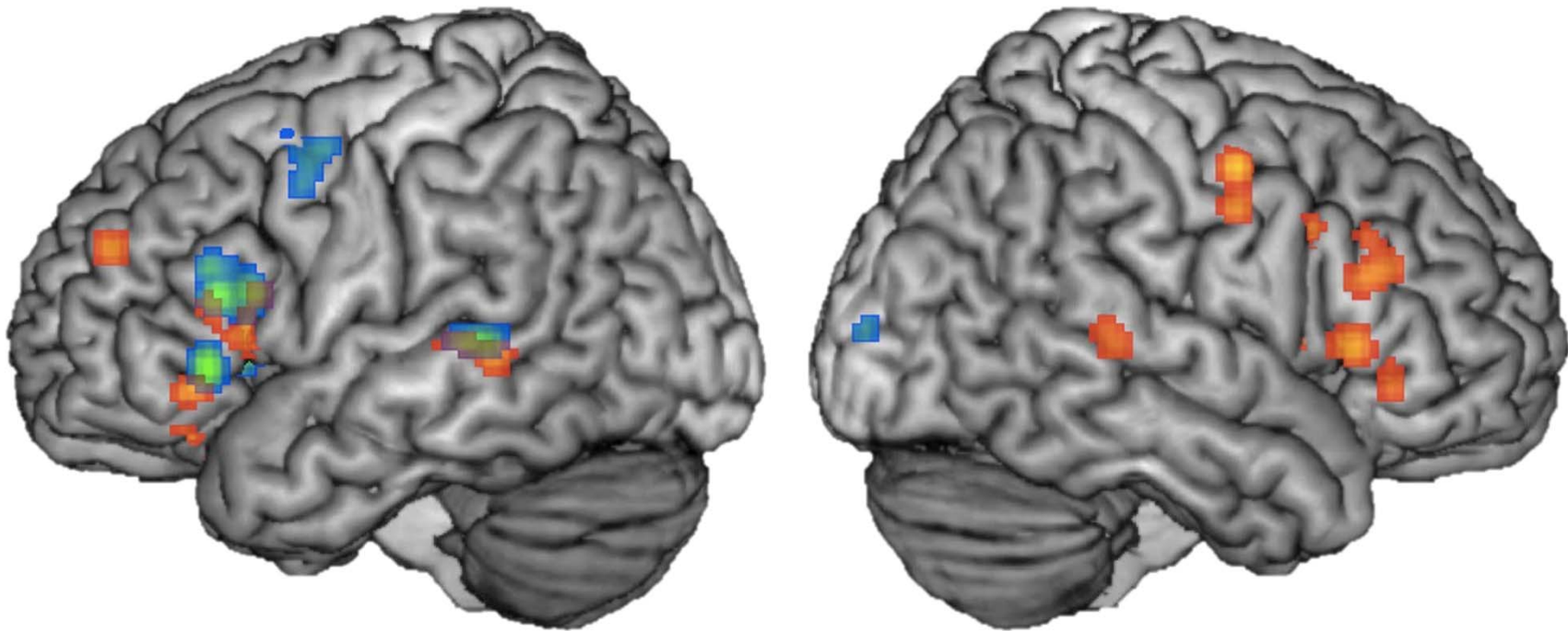
Recovery from aphasia (weeks- years)

- Depends on
 - Resolution of remote dysfunction
 - Strategic shifts
 - Brain plasticity
 - Constrained by
 - Lesion size and location
 - Health of the rest of the brain
- } Influenced by experience



Bilateral Language Activity in Chronic Post-Stroke Aphasia

12 studies: 106 patients, 129 controls

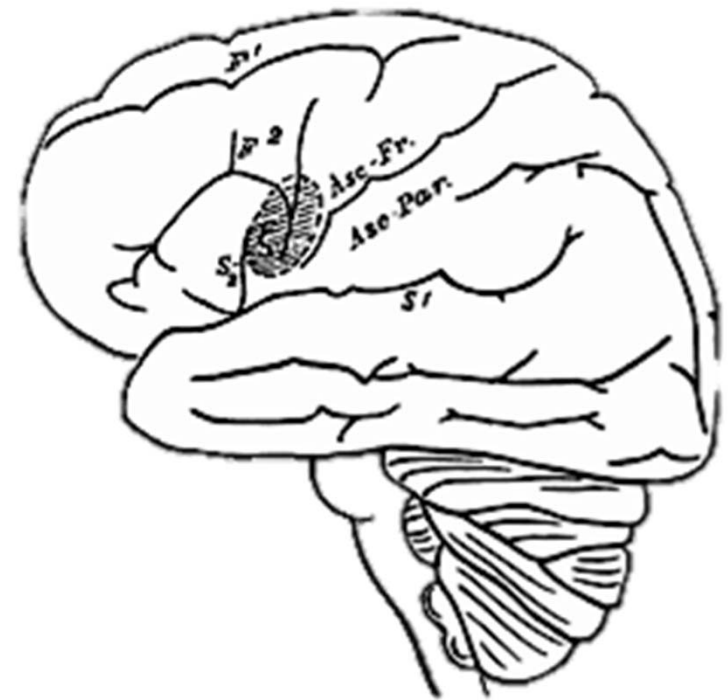


Controls
Aphasia

Turkeltaub et al., Neurology, 2011

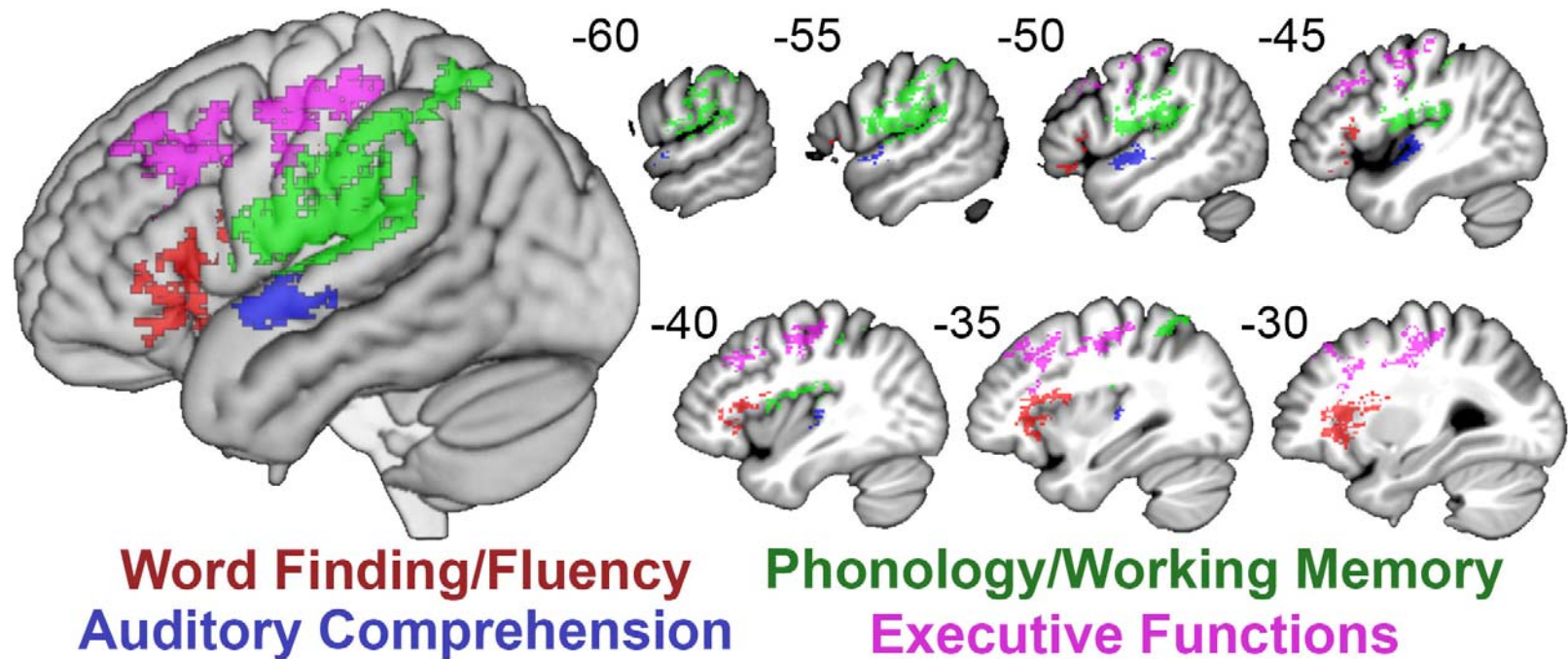
The roles of the two hemispheres in aphasia recovery

- **Left Hemisphere**
 - Sparing of language networks
 - Perilesional compensation (Fridriksson et al, 2010)
- **Right Hemisphere**
 - Compensation (Barlow 1877, Basso 1989, Blasi 2002, Xing 2015)
 - Inefficiency, dysfunction or interference (Naeser 2005, Postman-Caucheteux et al., 2010)
 - Domain general cognitive functions (Geranmayeh et al., 2014)
 - Mixed roles (Saur 2006, Turkeltaub 2011, Anglade 2014, others)



Barlow, 1877

Language is not one thing



Lacey et al., 2017

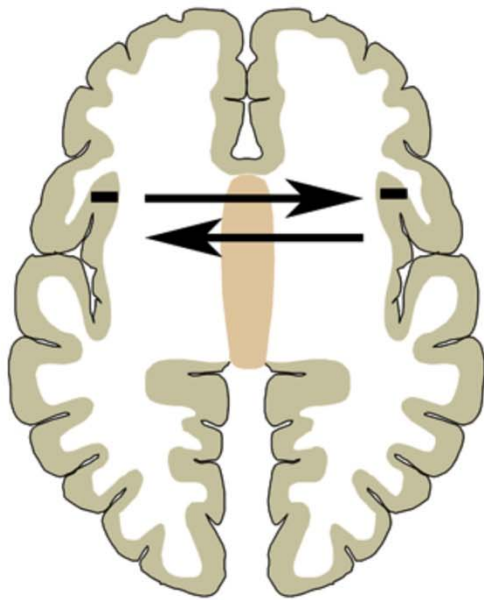
Different brain regions may be recruited by different mechanisms

- Right M1-mouth activity and Right STS activity relate to good naming outcomes
- Right motor cortex recruited for naming when left motor cortex is damage (Skipper-Kallal et al., 2017a)
- Right STS recruitment is blocked by left STS damage (Skipper-Kallal et al., 2017b)

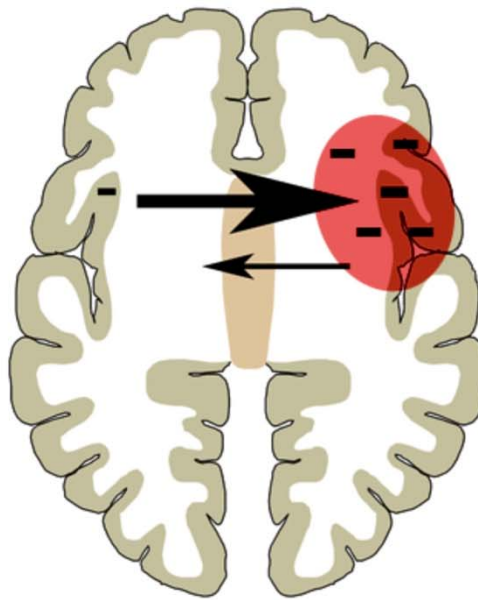
Emerging Consensus

- Native left hemisphere networks are best
- Most efficient available networks
 - Perilesional cortex in small strokes
- Right hemisphere can compensate to some degree
 - Varies by specific language function
 - May be more important in the subacute period
- Multiple biologically and behaviorally driven mechanisms of change

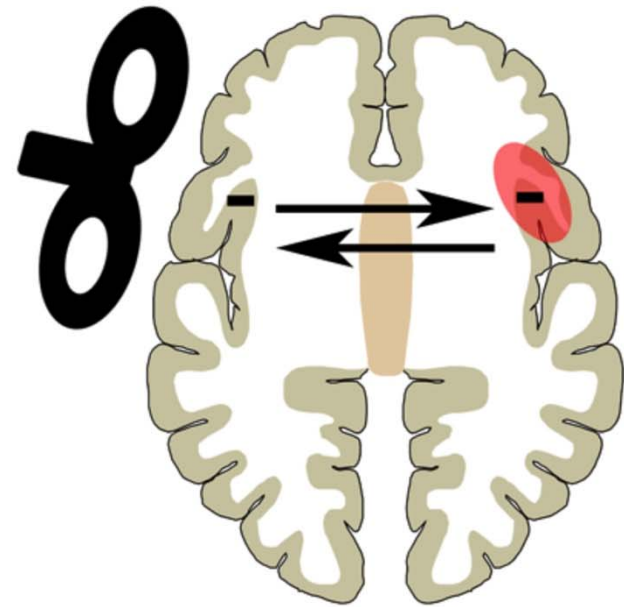
Framework guiding rTMS Treatments: Interhemispheric Inhibition Model



Mutual transcortical
inhibition



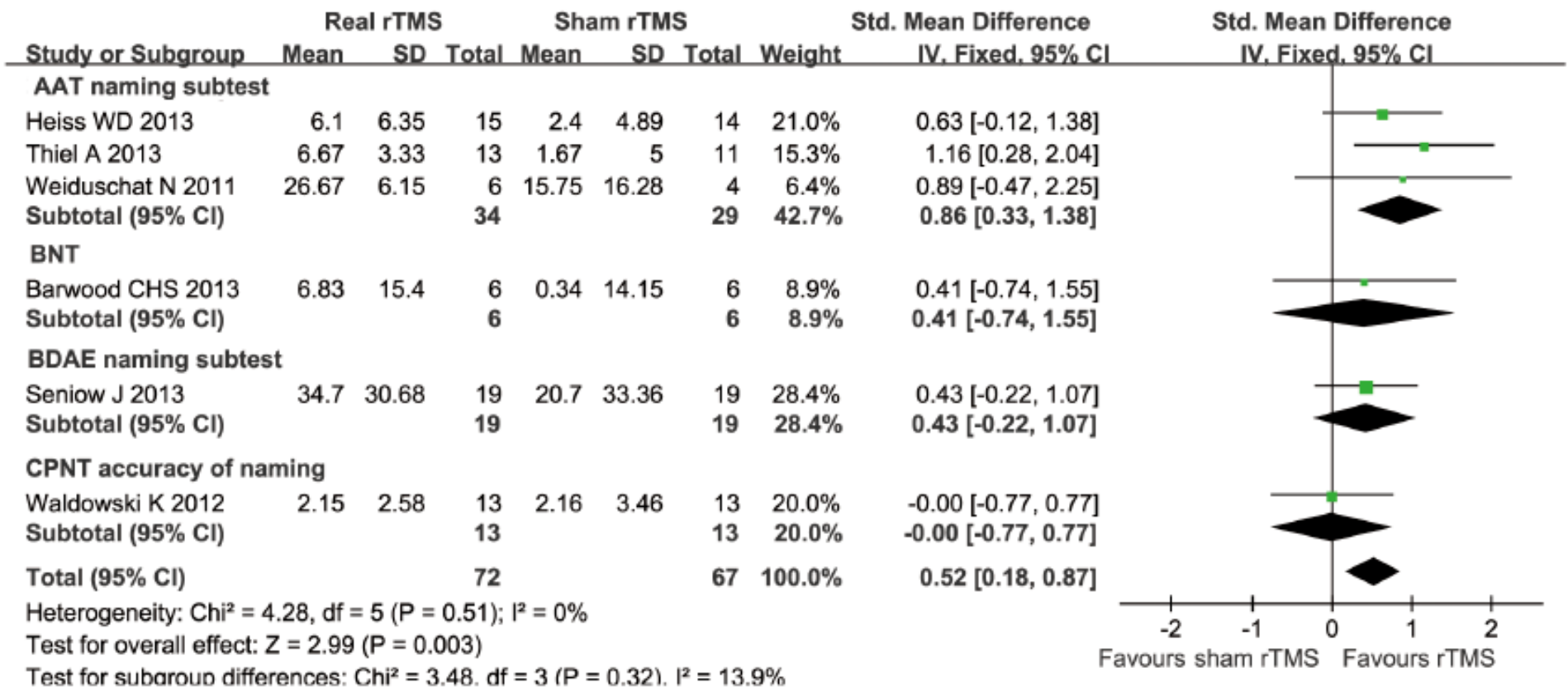
Unopposed
inhibition after
unilateral injury



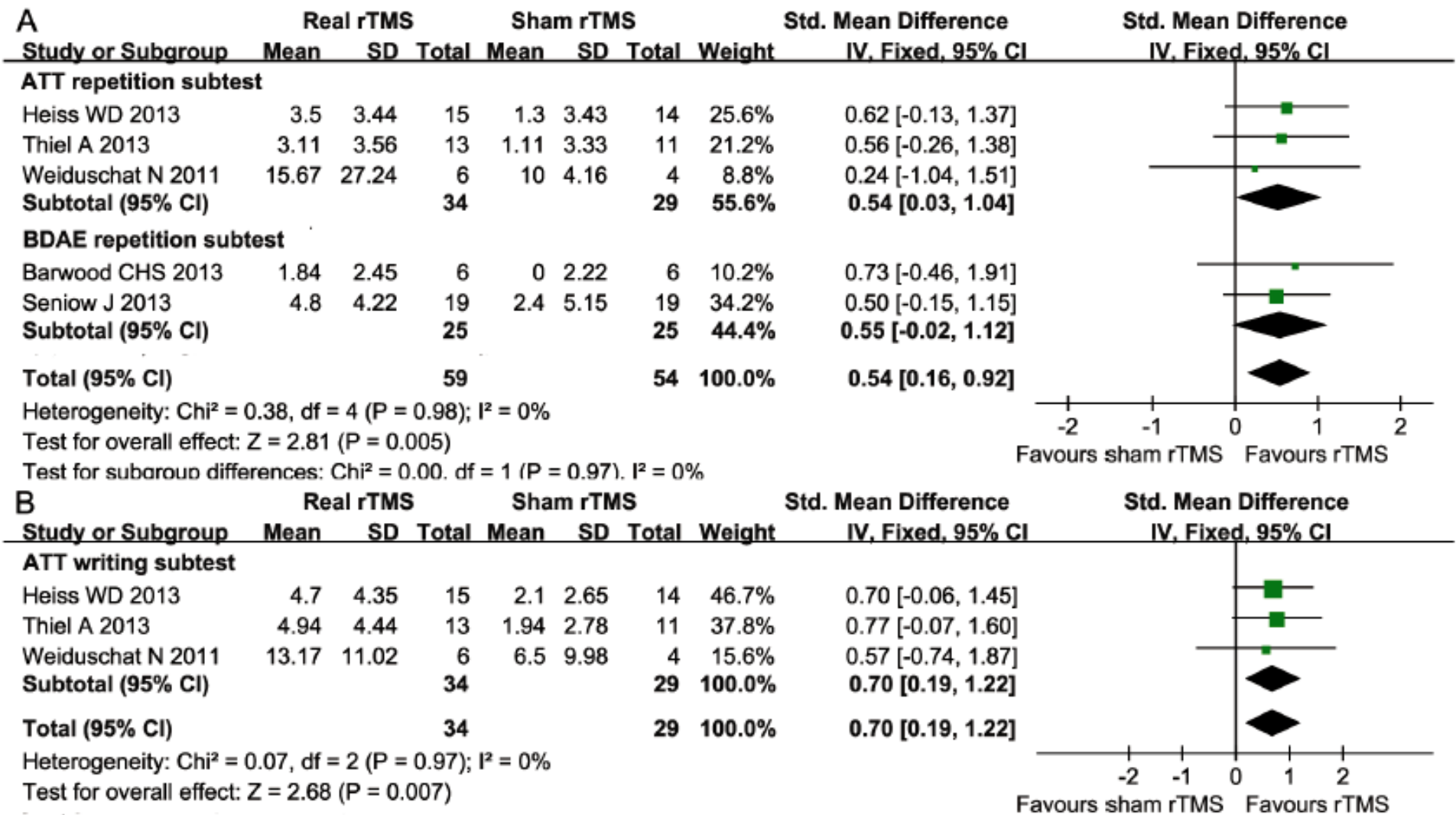
Exogenous manipulation
restores inhibitory
equilibrium

Randomized double-blind trials of inhibitory TMS to right IFG

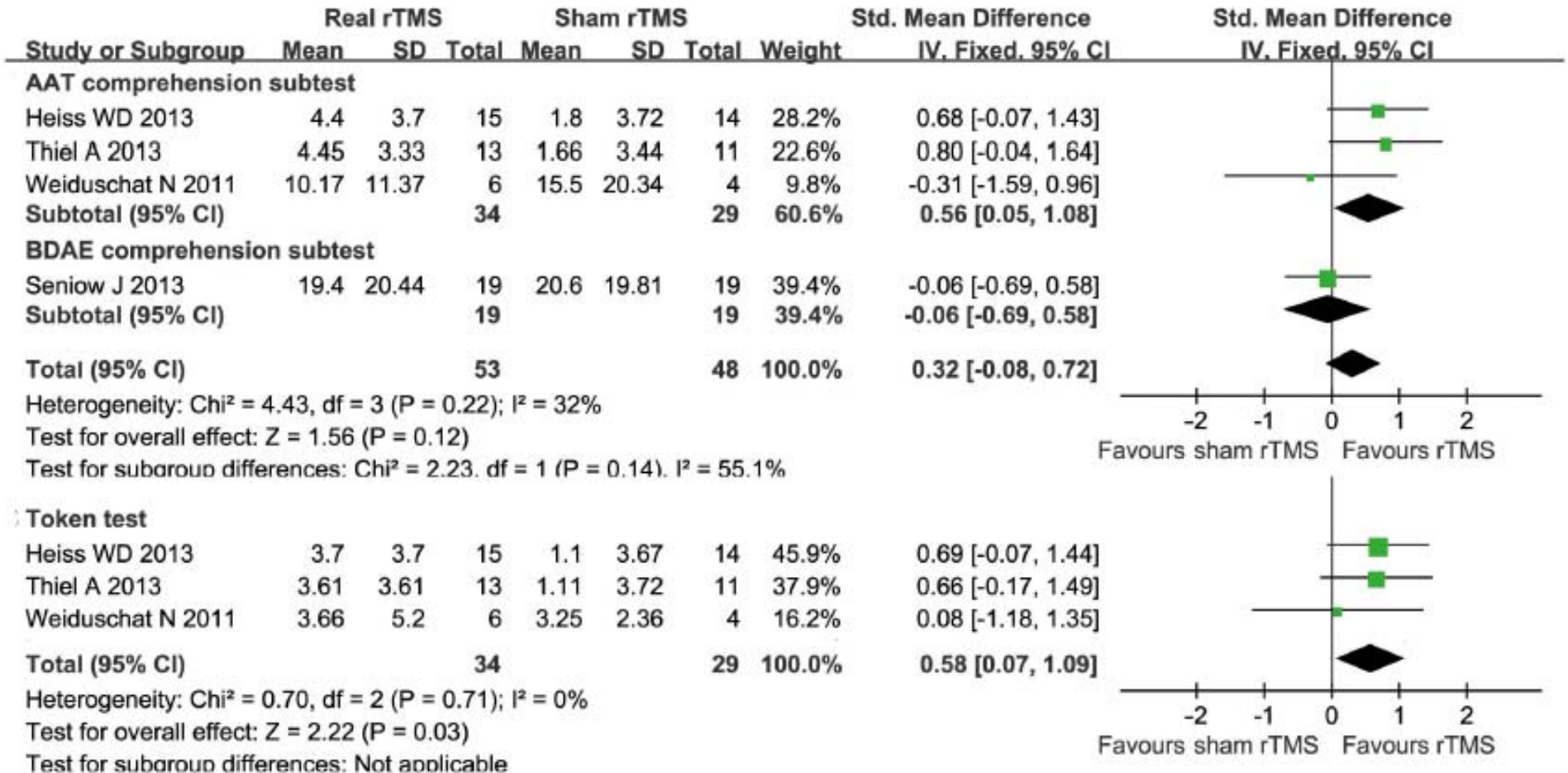
Total N = 139



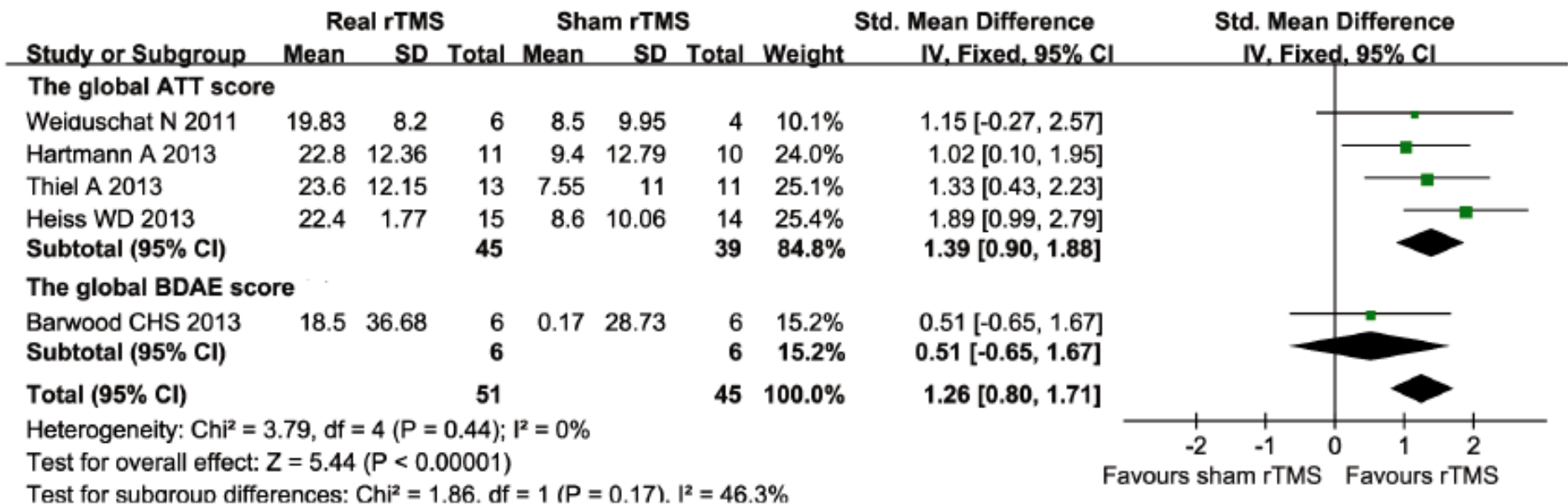
TMS Effect on Repetition and Writing



TMS Effect on Comprehension



TMS Effect on Overall Severity

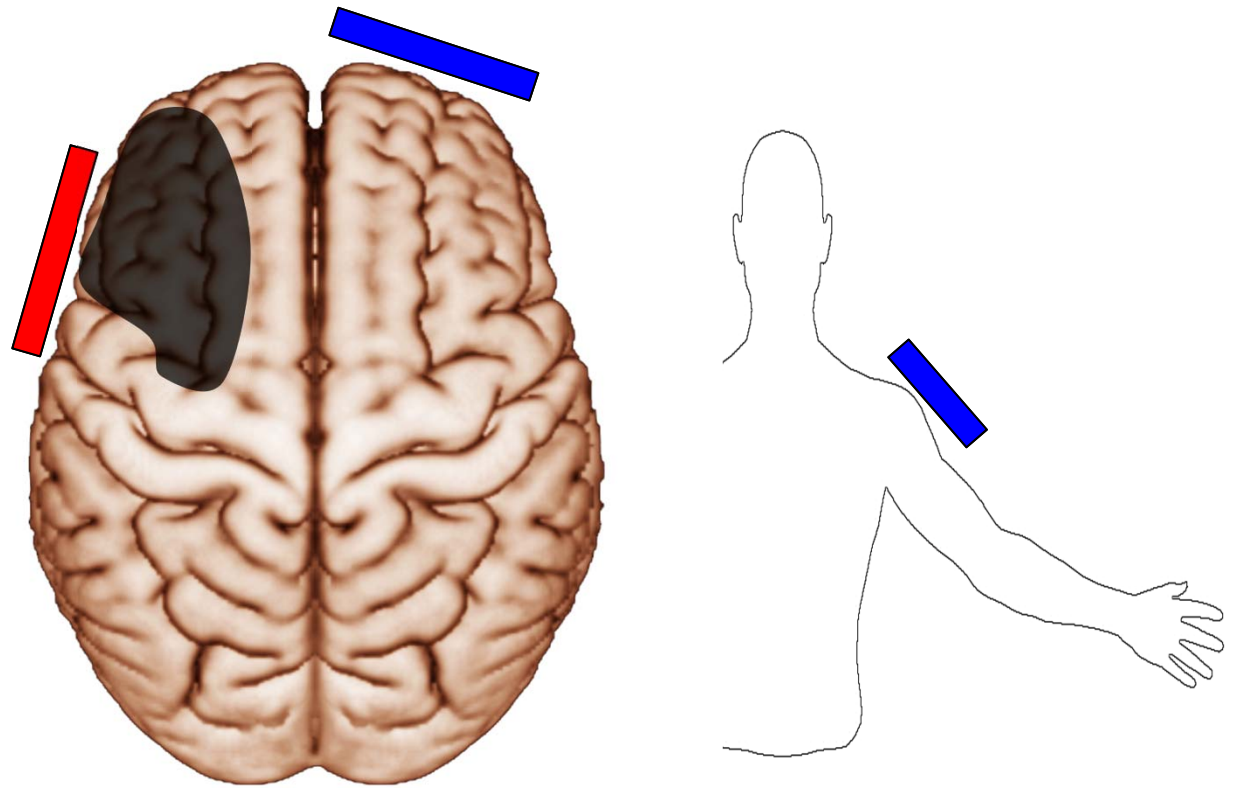


Limitations of TMS data

- Insufficient evidence for functional communication (i.e., measures of daily life communication)
- Mechanism of effect of right IFG inhibition is unclear

tDCS approaches

Left inferior frontal anodal stimulation



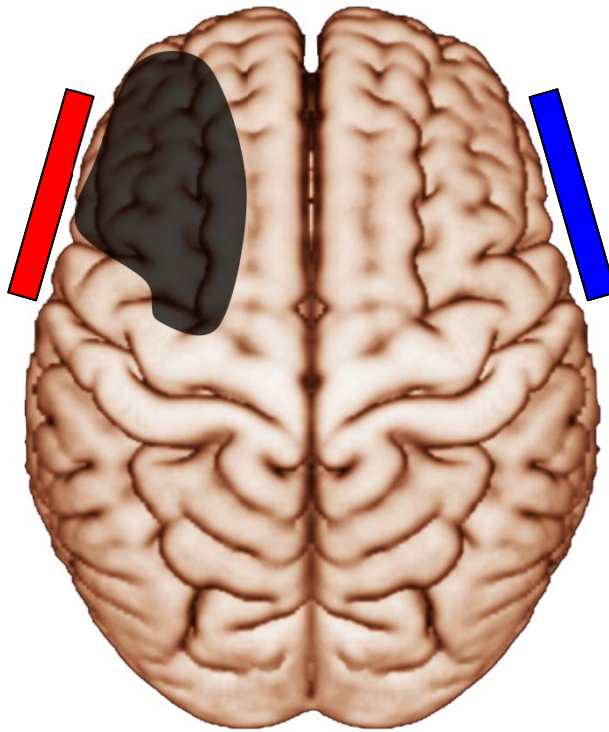
= anode (excitation)



= cathode (inhibition?)

tDCS approaches

Bi-frontal or left lateralizing frontal



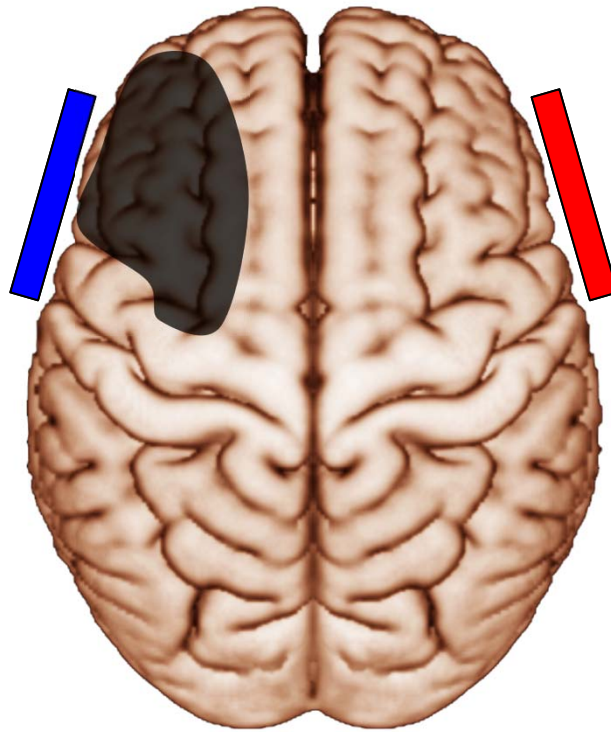
= anode (excitation)



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tDCS approaches

Bi-frontal or right lateralizing frontal



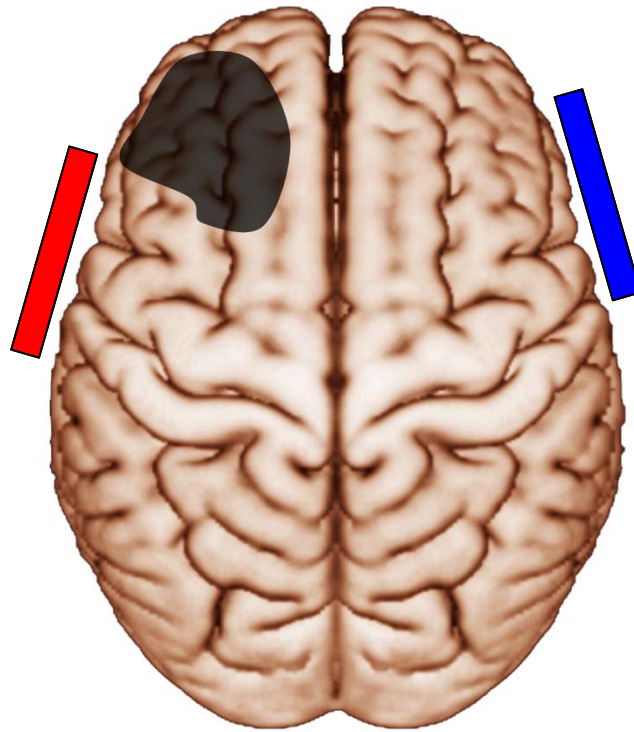
= anode (excitation)




= cathode (inhibition?)

tDCS approaches

Individually targeted

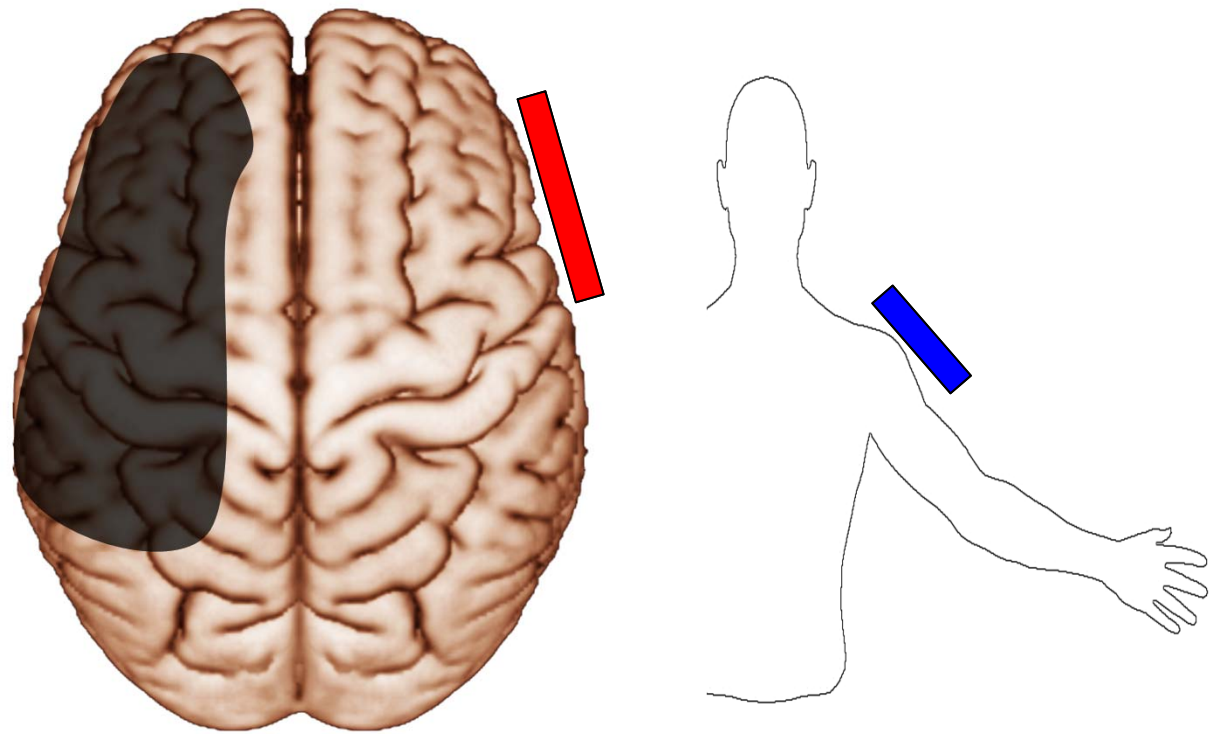



 = anode (excitation)


 = cathode (inhibition?)

tDCS approaches

Individually targeted



 = anode (excitation)

 = cathode (inhibition?)

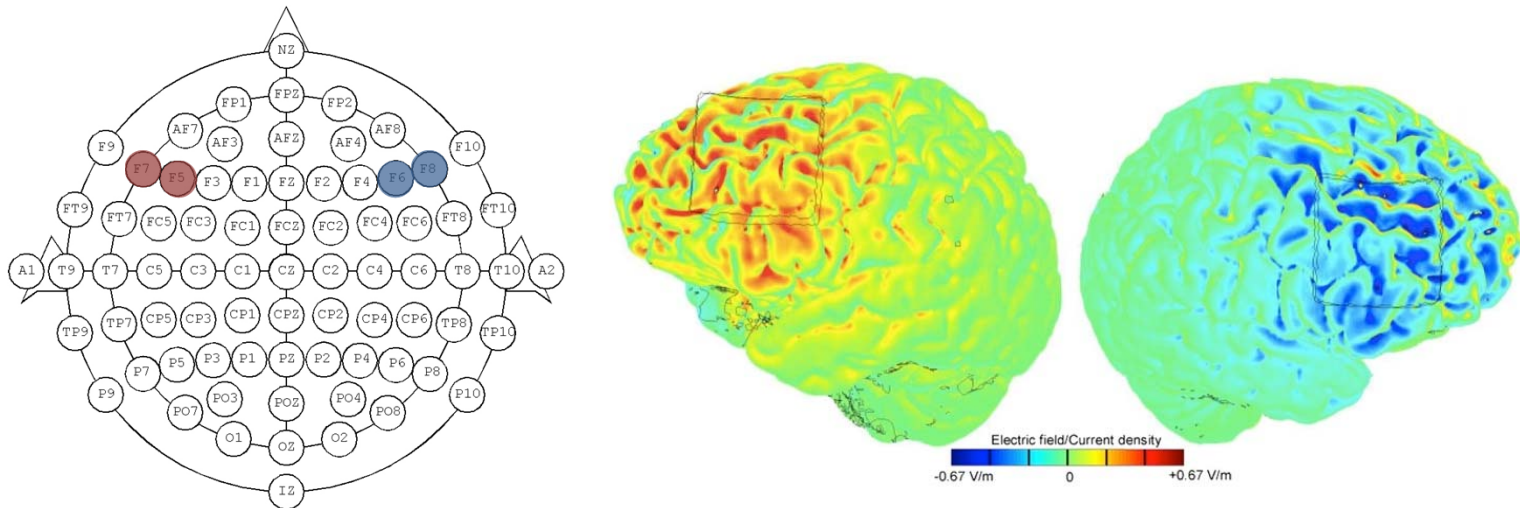
Lots of small studies

T:
A: Table 1 (continued)

Articles	Number and type of patients	Target	Control condition	Stimulation polarity and intensity	Duration and number of sessions	Results
Polanowska et al. [25]	24 (post-acute stroke/non-fluent aphasics: 2–24 weeks after stroke)	LIFG	sham	Anodal 1 mA	10 min, 15 sessions (followed by 45 min of picture naming task)	Improvement in naming accuracy and naming response time in both groups (A-tDCS and Sham).
Polanowska et al. [26]	37 (post-acute stroke/non-fluent aphasics: 2–24 weeks after stroke)	LIFG	sham	Anodal 1 mA	10 min, 15 sessions (followed by 45 min of picture naming task)	Improvement in the BDAE in both groups (A-tDCS and Sham) both at post-treatment and at 3 months follow-up.
Marangolo et al. [20]	12 (chronic stroke/non-fluent aphasics: 5–84 months after stroke)	LIFG Left Wernicke's area	sham	Anodal, 1 mA	20 min, 10 sessions (during conversational therapy)	Improvement in content units, verbs and sentences production after tDCS over LIFG at post-treatment and at 1 month follow-up.
Marangolo et al. [21]	12 (chronic stroke/non-fluent aphasics: 6–74 months after stroke)	Bihemispheric, tDCS: Anodal tDCS over LIFG and Cathodal tDCS over RIFG	sham	Anodal and Cathodal, 2 mA	20 min, 10 sessions (during repetition task)	Improvement in repetition accuracy and response time for syllables, words and sentences (on trained and untrained stimuli) after bihemispheric tDCS at post-treatment and at 1 week follow-up.
Marangolo et al. [22]	8 (chronic stroke/non-fluent aphasics: 12–84 months after stroke)	LIFG Left Wernicke's area	sham	Anodal, 1 mA	20 min, 5 sessions (during verb naming)	Improvement in verb naming after A-tDCS over the LIFG at post-treatment and at 1 month follow-up.
Lee et al. [48]	11 (chronic stroke/6 non-fluent and 5 fluent aphasics: 8–180 months after stroke)	Bihemispheric, tDCS: Anodal tDCS over LIFG and Cathodal tDCS over RIFG Single tDCS, LIFG	no no	Anodal and Cathodal, 2 mA Anodal, 2 mA	30 min, 1 session (during picture naming task)	Improvement in naming response time in the BNT after bihemispheric tDCS, no significant improvement after single tDCS. Improvement in naming accuracy after bihemispheric and single tDCS. No follow-up
Fiori et al. [17]	7 (chronic stroke/non-fluent aphasics: 9–84 months after stroke)	LIFG, Left Wernicke's area	sham	Anodal, 1 mA	20 min, 5 sessions (during noun and verb naming)	Improvement in noun naming after A-tDCS over left Wernicke's and in verb naming after A-tDCS over LIFG at post-treatment and at 1 and 4 weeks follow-up.
Cherney et al. [30]	1 (chronic stroke/non-fluent aphasic: 204 months after stroke)	Right Wernicke area	no	Cathodal, 1 mA	13 min, 30 sessions (during SLT)	Improvement in WAB AQ and in auditory comprehension at post-treatment.
You et al. [37]	21 (post-acute stroke/non-fluent aphasics: 16–38 days after stroke)	Left or right Wernicke's area	sham	Anodal over left Wernicke's area or cathodal right Wernicke's area, 2 mA	30 min, 10 sessions (during SLT)	Improvement in auditory verbal comprehension after C-tDCS at post-treatment. No follow-up.
Vines et al. [39]	6 (chronic stroke/non-fluent aphasics: 15–120 months after stroke)	RIFG	sham	Anodal, 1.2 mA	20 min, 3 sessions (during MIT)	Improvement in verbal fluency after A-tDCS at post-treatment. No follow-up
Marangolo et al. [58]	3 (chronic stroke/non-fluent aphasics: 7–48 months after stroke)	LIFG	sham	Anodal, 1 mA	20 min, 5 sessions (during repetition task)	Improvement in syllables and words repetition after A-tDCS at post-treatment and at 2 months follow-up. Improvement in different language subtests.
Jung et al. [38]	37 (post-acute/chronic stroke: average 221 days after stroke)	RIFG	no	Cathodal, 1 mA	30 min, 10 sessions (during SLT)	Improvement in the WAB AQ. No follow-up.
Kang et al. [36]	10 (chronic stroke/8 non-fluent and 2 fluent aphasics: 6 – 181 months after stroke)	RIFG	sham	Cathodal, 2 mA	20 min, 5 session (during word-retrieval training)	Improvement in naming accuracy in the BNT at 1 h following the last C-tDCS session, no changes after sham. No follow-up.
Fridriksson et al. [18]	8 (chronic stroke/fluent aphasics: 10–150 months after stroke)	Left posterior cortex	sham	Anodal, 1 mA	20 min, 5 sessions (during picture naming)	Improvement in naming accuracy after A-tDCS at post-treatment and at 3 weeks follow-up.
Floel et al. [67]	12 (chronic stroke/9 non-fluent aphasics and 3 fluent aphasics: 14–260 months after stroke)	Right temporo-parietal cortex	sham	Anodal, Cathodal, 1 mA	20 min, 3 sessions (2 × 1 h/day of computer-assisted naming)	Improvement in naming accuracy after A-tDCS at post-treatment and at 2 weeks follow-up.
Fiori et al. [16]	3 (chronic stroke/non-fluent aphasics: 21–71 months after stroke)	Left Wernicke's area	sham	Anodal, 1 mA	20 min, 5 sessions (during SLT)	Improvement in naming accuracy and response time after A-tDCS at post-treatment and at 3 weeks follow-up.

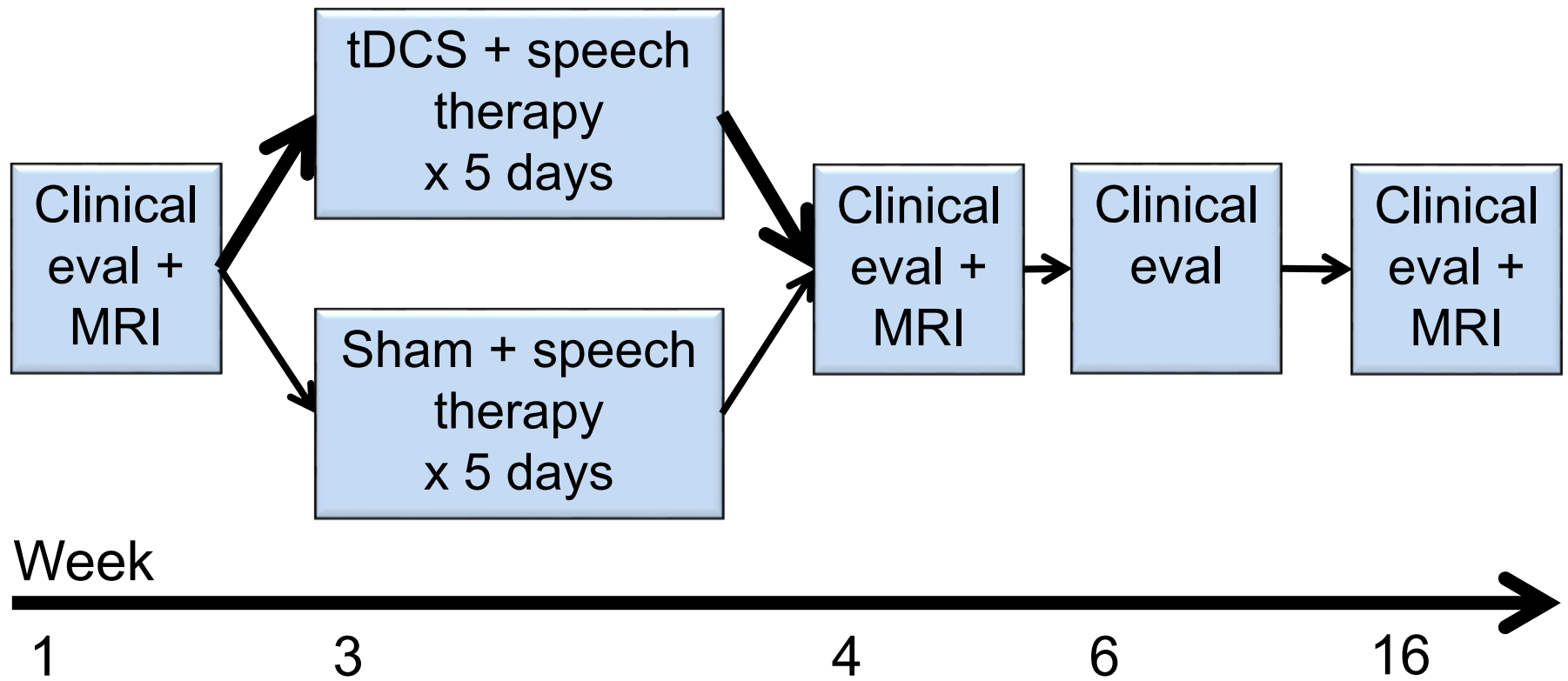
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tDCS trial in chronic aphasia



- Phase II randomized double-blind sham-controlled trial
 - Real vs. sham tDCS (2:1) with speech therapy
 - > 6 months post-stroke (broad inclusion)
 - Funded by Doris Duke Charitable Foundation and the National Center for Advancing Translational Sciences via the GHUCCTS

Study Design



Prespecified Primary Outcome Measure = WAB Naming and Word Finding

Participants

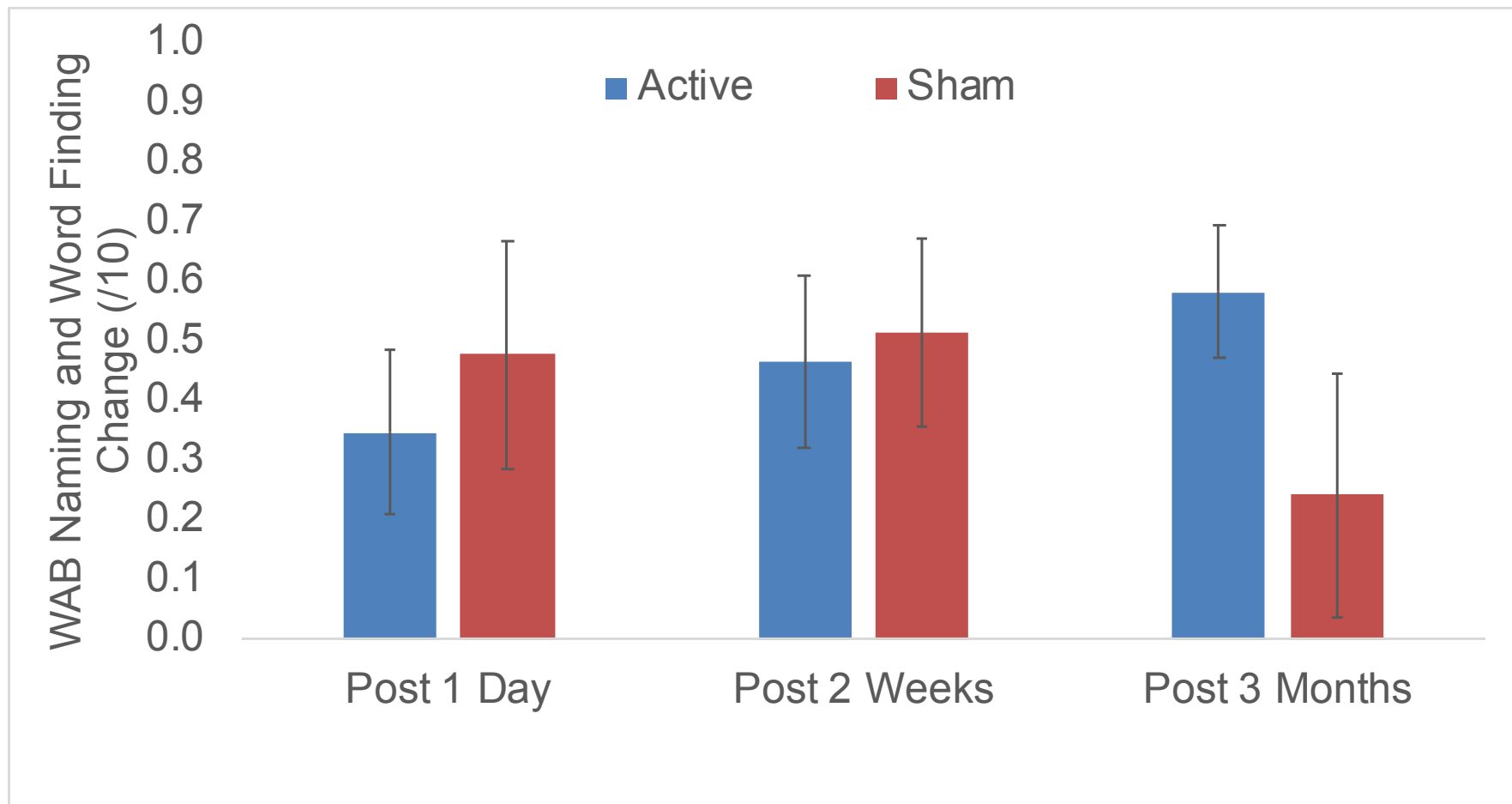
	Active tDCS (N=24)	Sham tDCS (N=14)	Diff
Age (yrs)	60.2 (10.9)	60.1 (8.6)	P=.97
Sex	16M, 8 F	9M, 5F	P>.99
Time since Stroke (mo)	55.1 (44.0)	44 (26.9)	P=.51
WAB AQ (/100)	66.3 (21.1)	65.1 (26.8)	P=.88
WAB N&WF (/10)	6.1 (2.9)	6.1 (3.0)	P=.99
PNT (%)	53.6 (29.9)	53.6 (39.2)	P>.99
Written PNT (%)	21.8 (16.25)	25.5 (21.7)	P=.57

Also matched on lesion size, apraxia, education

No serious Adverse Events

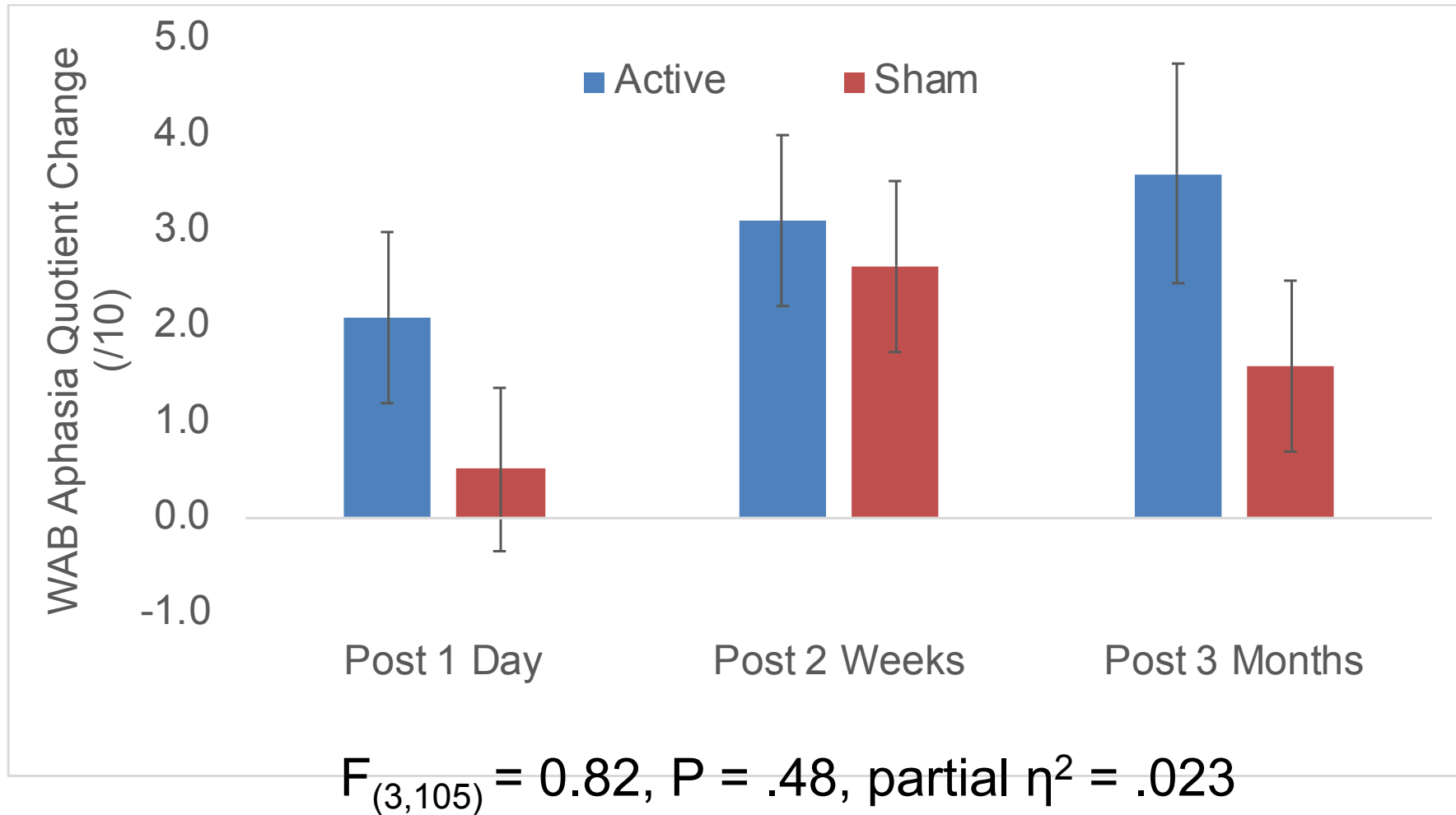
1 drop out (active tDCS, unclear reason, came to 2 week follow up only)

WAB Naming and Word Finding

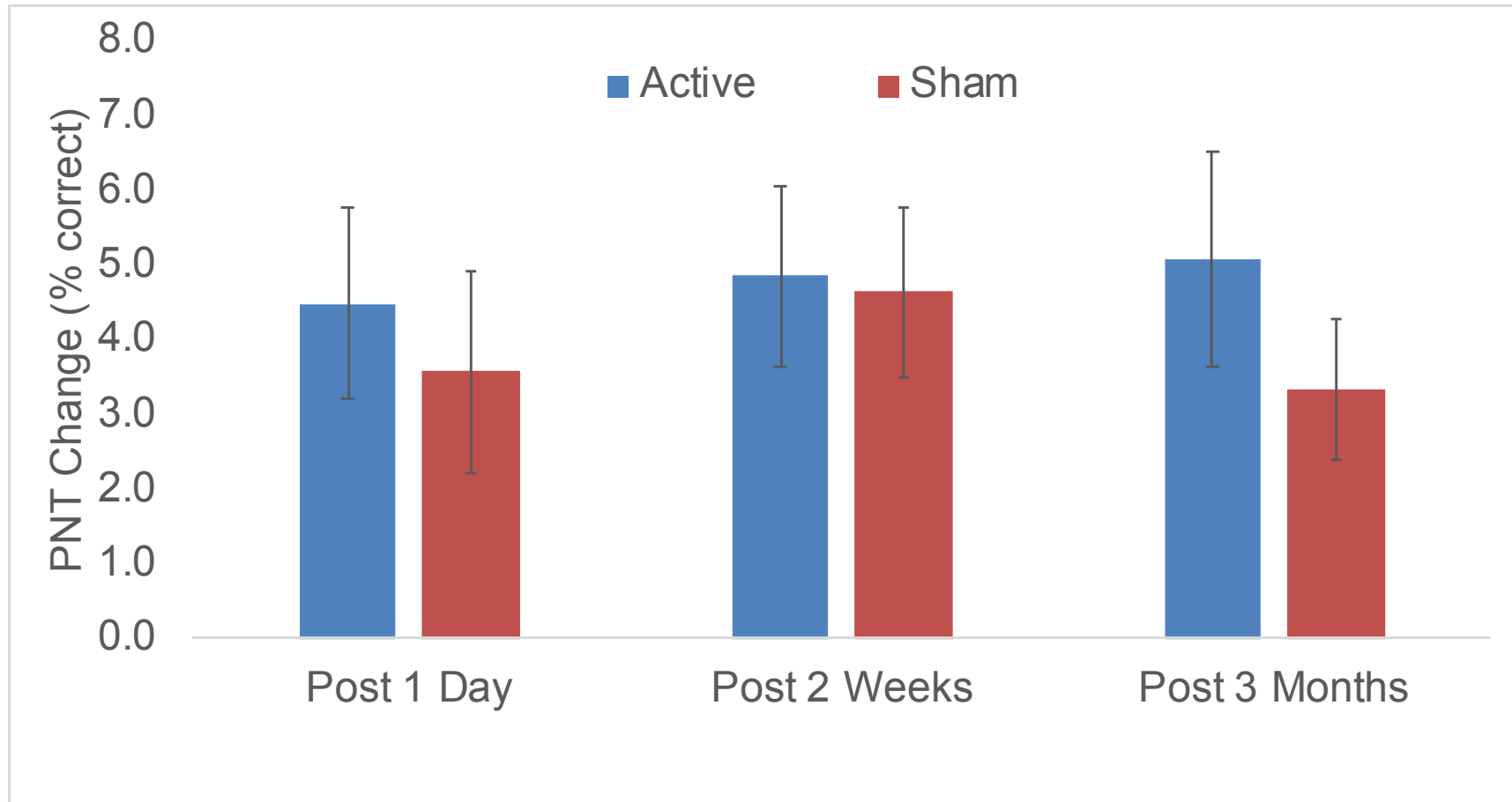


$F_{(3,105)} = 1.78, P = .16, \text{partial } \eta^2 = .048$

WAB Aphasia Quotient

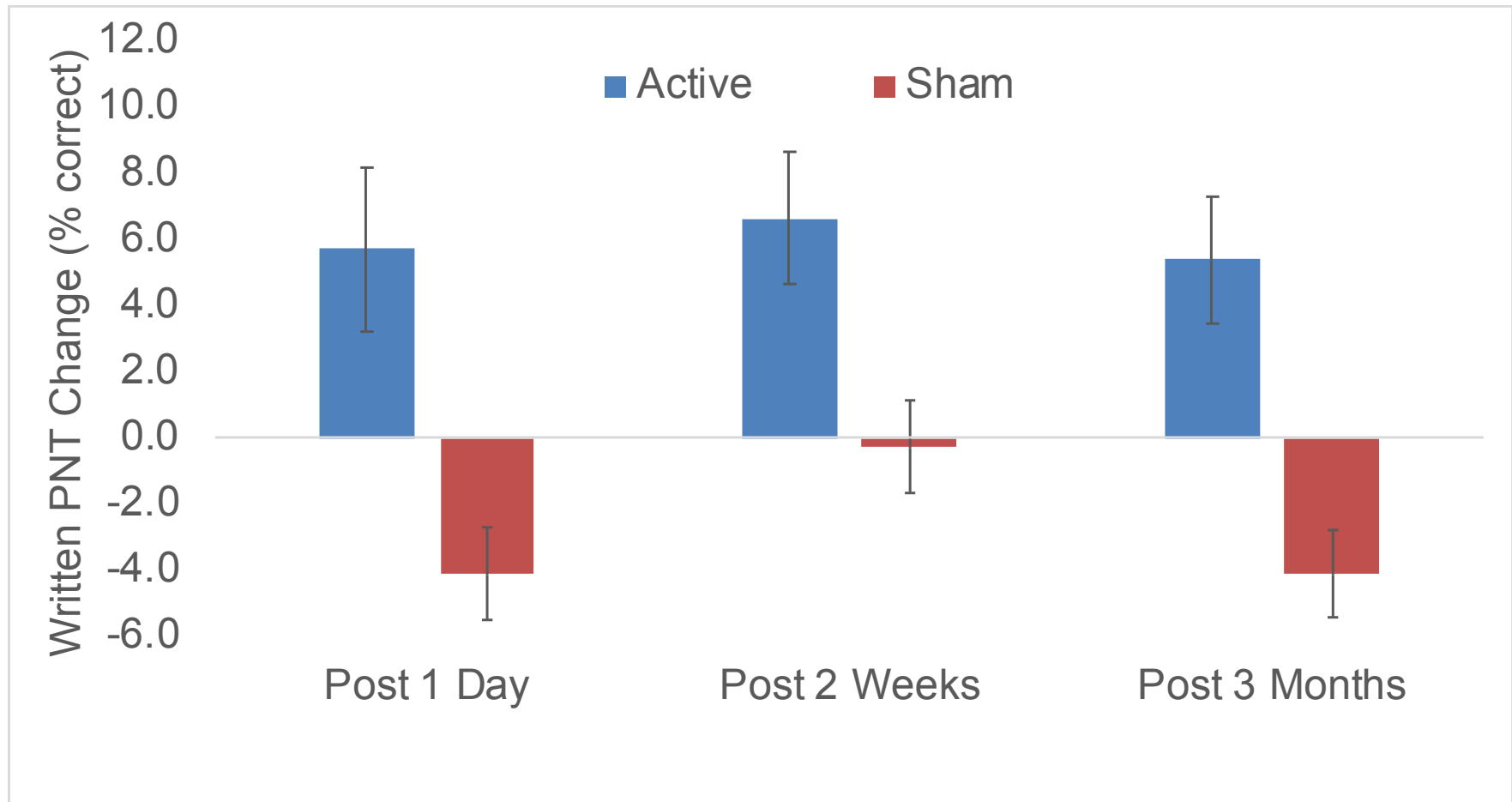


Spoken Naming



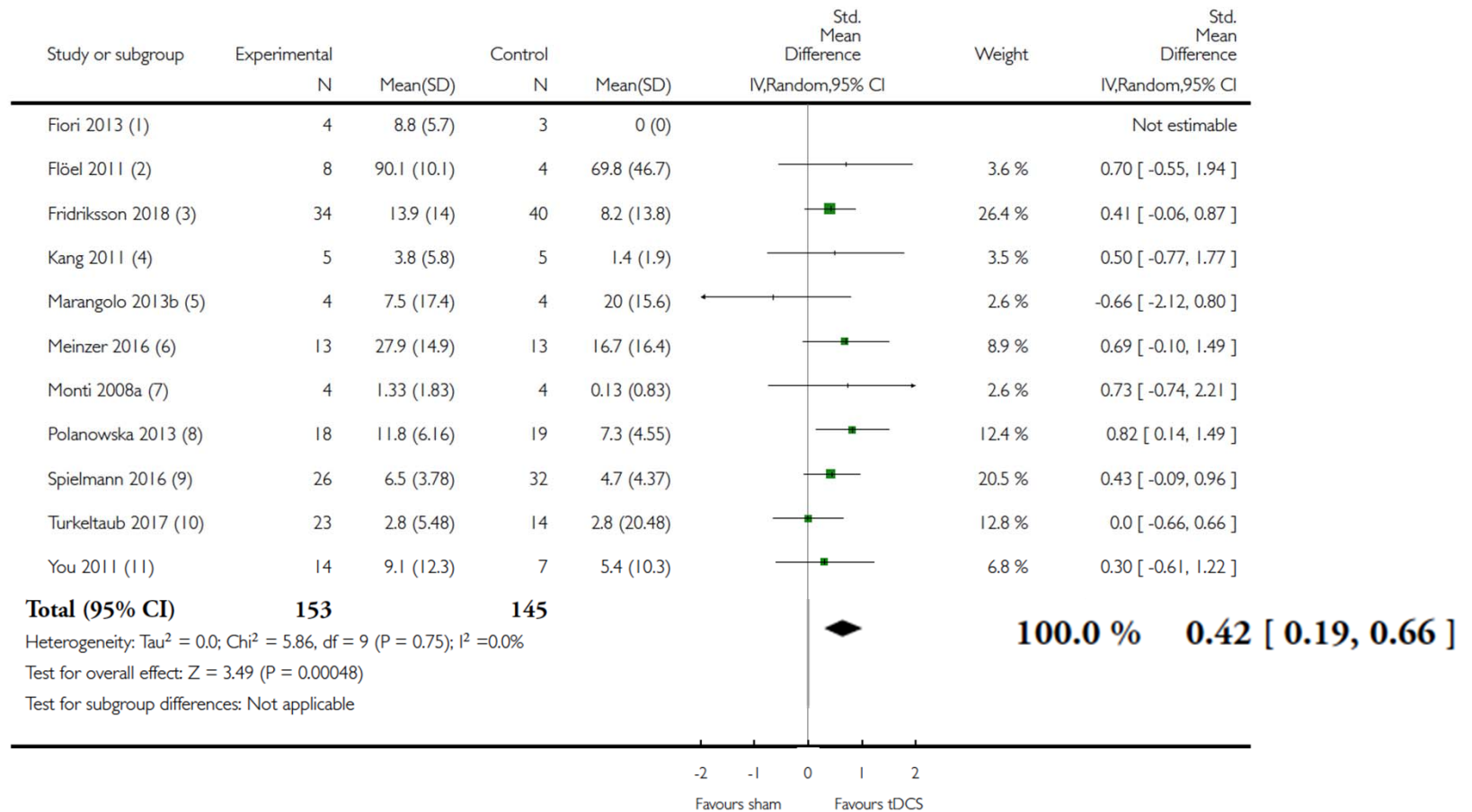
$F_{(3,105)} = 0.28, P = .84, \text{partial } \eta^2 = .008$

Written Naming

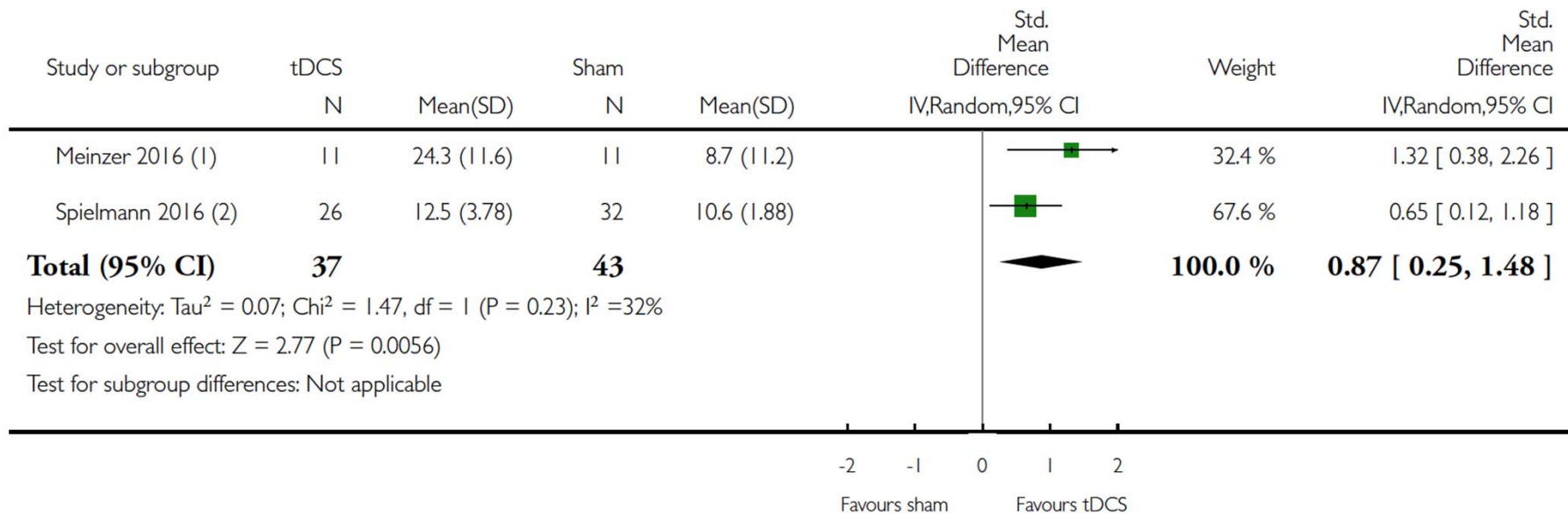


N=34, $F_{(3,96)} = 4.68$, $P = .004$, partial $\eta^2 = .128$

tDCS Effect on Noun Naming Post-tDCS



tDCS Effect on Noun Naming at Follow-Up



Other notes from the meta-analysis

- Moderate quality of evidence for naming
- No effect on functional communication
- No significant effect of stimulation site/polarity
- No significant effect of aphasia type
- Analysis of naming at follow-up did not include several papers for unclear reasons
- “Current evidence does not support the routine use of tDCS for aphasia after stroke.”

Summary of Findings

- Negative trial
- Small to medium effects
 - Not clinically significant
- Largest effect was on written naming
- Variance in treatment group suggests individual differences

Recent positive developments

- Increasing sample sizes
 - Meinzer et al., 2016 (n=26)
 - Polanowska et al., 2013 (n=37)
 - Fridriksson et al., 2018 (n>60)
 - Turkeltaub et al., forthcoming (n=38)
 - Hillis, Tsapkini, Sebastian, in progress
- Multi-site RCTs
 - NORTHSTAR, completed enrollment (?)
 - TEASER, in progress (planned n = 58)
- Brain imaging pre and post

Needed areas of investigation for tDCS and rTMS

- Larger multi-site studies
- Systematic parameter exploration
 - Electrode placement
 - Polarity and intensity
 - Length of treatment
 - Timing (after stroke and in relation to therapy)
 - Stimulation- Therapy pairings
 - → individualized treatment approach
- Brain imaging measures to understand biological mechanisms of effect
- Clinically meaningful outcome measures

Conclusions

- rTMS and tDCS are both promising
- Both appear to be safe
- Efficacy not clearly established yet
- More research needed
 - Understanding brain basis of aphasia recovery
 - Understanding mechanisms of effect
 - Optimizing treatment protocols
 - Test for clinically meaningful effects

Thank You!

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